

TITLE V BLOCK GRANT APPLICATION
FORMS (2-21)
STATE: NY
APPLICATION YEAR: 2011

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FORM 2
MCH BUDGET DETAILS FOR FY 2011

[Secs. 504 (d) and 505(a)(3)(4)]

STATE: NY

1. FEDERAL ALLOCATION

(Item 15a of the Application Face Sheet [SF 424])
Of the Federal Allocation (1 above), the amount earmarked for:

\$ 41,036,806

A.Preventive and primary care for children:

\$ 13,634,547 (33.23%)

B.Children with special health care needs:

\$ 12,467,244 (30.38%)

(If either A or B is less than 30%, a waiver request must accompany the application)[Sec. 505(a)(3)]

C.Title V administrative costs:

\$ 2,274,958 (5.54%)

(The above figure cannot be more than 10%)[Sec. 504(d)]

2. UNOBLIGATED BALANCE (Item 15b of SF 424)

\$ 0

3. STATE MCH FUNDS (Item 15c of the SF 424)

\$ 336,529,505

4. LOCAL MCH FUNDS (Item 15d of SF 424)

\$ 313,430,367

5. OTHER FUNDS (Item 15e of SF 424)

\$ 0

6. PROGRAM INCOME (Item 15f of SF 424)

\$ 173,450,785

7. TOTAL STATE MATCH (Lines 3 through 6)

(Below is your State's FY 1989 Maintenance of Effort Amount)

\$ 58,268,752

\$ 823,410,657

8. FEDERAL-STATE TITLE V BLOCK GRANT PARTNERSHIP (SUBTOTAL)

(Total lines 1 through 6. Same as line 15g of SF 424)

\$ 864,447,463

9. OTHER FEDERAL FUNDS

(Funds under the control of the person responsible for the administration of the Title V program)

a. SPRANS: \$ 0

b. SSDI: \$ 93,713

c. CISS: \$ 0

d. Abstinence Education: \$ 0

e. Healthy Start: \$ 0

f. EMSC: \$ 0

g. WIC: \$ 0

h. AIDS: \$ 0

i. CDC: \$ 1,724,830

j. Education: \$ 50,238,349

k. Other: \$ 0

HRSA \$ 1,131,973

Medicaid Match \$ 8,546,452

TANF \$ 2,500,000

Title X \$ 10,961,481

10. OTHER FEDERAL FUNDS (SUBTOTAL of all Funds under item 9)

\$ 75,196,798

11. STATE MCH BUDGET TOTAL

(Partnership subtotal + Other Federal MCH Funds subtotal)

\$ 939,644,261

FORM NOTES FOR FORM 2
None
FIELD LEVEL NOTES
None

FORM 3
STATE MCH FUNDING PROFILE

[Secs. 505(a) and 506(a)(1-3)]

STATE: NY

	FY 2006		FY 2007		FY 2008	
	BUDGETED	EXPENDED	BUDGETED	EXPENDED	BUDGETED	EXPENDED
1. Federal Allocation <i>(Line1, Form 2)</i>	\$ 43,450,702	\$ 43,450,702	\$ 41,621,706	\$ 41,629,217	\$ 41,629,217	\$ 40,842,301
2. Unobligated Balance <i>(Line2, Form 2)</i>	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
3. State Funds <i>(Line3, Form 2)</i>	\$ 388,295,930	\$ 387,035,471	\$ 351,809,825	\$ 347,801,378	\$ 351,565,000	\$ 337,067,557
4. Local MCH Funds <i>(Line4, Form 2)</i>	\$ 266,309,718	\$ 283,491,639	\$ 242,471,037	\$ 245,642,140	\$ 361,355,556	\$ 357,876,779
5. Other Funds <i>(Line5, Form 2)</i>	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0
6. Program Income <i>(Line6, Form 2)</i>	\$ 299,431,541	\$ 286,125,086	\$ 268,918,266	\$ 182,431,876	\$ 189,548,660	\$ 179,051,322
7. Subtotal	\$ 997,487,891	\$ 1,000,102,898	\$ 904,820,834	\$ 817,504,611	\$ 944,098,433	\$ 914,837,959
(THE FEDERAL-STATE TITLE BLOCK GRANT PARTNERSHIP)						
8. Other Federal Funds <i>(Line10, Form 2)</i>	\$ 44,307,745	\$ 40,019,155	\$ 42,076,539	\$ 39,471,805	\$ 40,337,744	\$ 40,337,744
9. Total <i>(Line11, Form 2)</i>	\$ 1,041,795,636	\$ 1,040,122,053	\$ 946,897,373	\$ 856,976,416	\$ 984,436,177	\$ 955,175,703
(STATE MCH BUDGET TOTAL)						

FORM 3
STATE MCH FUNDING PROFILE

[Secs. 505(a) and 506(a)(1-3)]

STATE: NY

	FY 2009		FY 2010		FY 2011	
	BUDGETED	EXPENDED	BUDGETED	EXPENDED	BUDGETED	EXPENDED
1. Federal Allocation <i>(Line1, Form 2)</i>	\$ 41,629,217	\$ 41,036,806	\$ 41,043,769	\$	\$ 41,036,806	\$
2. Unobligated Balance <i>(Line2, Form 2)</i>	\$ 0	\$ 0	\$ 0	\$	\$ 0	\$
3. State Funds <i>(Line3, Form 2)</i>	\$ 390,311,698	\$ 360,267,459	\$ 363,695,631	\$	\$ 336,529,505	\$
4. Local MCH Funds <i>(Line4, Form 2)</i>	\$ 309,987,228	\$ 315,619,141	\$ 299,499,317	\$	\$ 313,430,367	\$
5. Other Funds <i>(Line5, Form 2)</i>	\$ 0	\$ 0	\$ 0	\$	\$ 0	\$
6. Program Income <i>(Line6, Form 2)</i>	\$ 174,723,376	\$ 187,342,102	\$ 176,715,455	\$	\$ 173,450,785	\$
7. Subtotal	\$ 916,651,519	\$ 904,265,508	\$ 880,954,172	\$ 0	\$ 864,447,463	\$ 0
(THE FEDERAL-STATE TITLE BLOCK GRANT PARTNERSHIP)						
8. Other Federal Funds <i>(Line10, Form 2)</i>	\$ 46,143,937	\$ 43,118,307	\$ 45,901,844	\$	\$ 75,196,798	\$
9. Total <i>(Line11, Form 2)</i>	\$ 962,795,456	\$ 947,383,815	\$ 926,856,016	\$ 0	\$ 939,644,261	\$ 0
(STATE MCH BUDGET TOTAL)						

FORM NOTES FOR FORM 3

TEST

FIELD LEVEL NOTES

1. **Section Number:** Form3_Main
Field Name: FedAllocExpended
Row Name: Federal Allocation
Column Name: Expended
Year: 2009
Field Note:
Expenditures equal to grant award amount
2. **Section Number:** Form3_Main
Field Name: FedAllocExpended
Row Name: Federal Allocation
Column Name: Expended
Year: 2008
Field Note:
level of funding provided for FFY08
3. **Section Number:** Form3_Main
Field Name: UnobligatedBalanceExpended
Row Name: Unobligated Balance
Column Name: Expended
Year: 2008
Field Note:
4% difference in expenditure and budget amount due to routine under spending for most programs. Budget will be adjusted in the furture to allow for these differences. In addition, three new initiatives to state funding had no or minor expenditures and one increased allocation was reassigned to a different Center in the department.

FORM 4

BUDGET DETAILS BY TYPES OF INDIVIDUALS SERVED (I) AND SOURCES OF OTHER FEDERAL FUNDS (II)

[Secs 506(2)(2)(iv)]

STATE: NY

	FY 2006		FY 2007		FY 2008	
	BUDGETED	EXPENDED	BUDGETED	EXPENDED	BUDGETED	EXPENDED
I. Federal-State MCH Block Grant Partnership						
a. Pregnant Women	\$ 53,904,738	\$ 47,044,245	\$ 48,860,325	\$ 45,117,681	\$ 64,999,538	\$ 61,029,725
b. Infants < 1 year old	\$ 173,879,542	\$ 158,679,430	\$ 185,488,271	\$ 104,879,584	\$ 129,744,213	\$ 128,292,591
c. Children 1 to 22 years old	\$ 139,787,098	\$ 154,144,896	\$ 54,289,250	\$ 97,346,038	\$ 116,647,102	\$ 107,617,387
d. Children with Special Healthcare Needs	\$ 547,371,892	\$ 525,266,481	\$ 531,129,829	\$ 498,360,642	\$ 496,870,196	\$ 486,426,590
e. Others	\$ 30,594,283	\$ 67,047,059	\$ 39,812,117	\$ 43,678,763	\$ 97,300,581	\$ 93,049,666
f. Administration	\$ 51,950,338	\$ 47,920,787	\$ 45,241,042	\$ 28,121,903	\$ 38,536,803	\$ 38,422,000
g. SUBTOTAL	\$ 997,487,891	\$ 1,000,102,898	\$ 904,820,834	\$ 817,504,611	\$ 944,098,433	\$ 914,837,959
II. Other Federal Funds (under the control of the person responsible for administration of the Title V program).						
a. SPRANS	\$ 0		\$ 0		\$ 150,000	
b. SSDI	\$ 100,000		\$ 100,000		\$ 100,000	
c. CISS	\$ 0		\$ 0		\$ 0	
d. Abstinence Education	\$ 3,614,500		\$ 3,675,827		\$ 3,614,500	
e. Healthy Start	\$ 0		\$ 0		\$ 0	
f. EMSC	\$ 0		\$ 0		\$ 0	
g. WIC	\$ 0		\$ 0		\$ 0	
h. AIDS	\$ 0		\$ 0		\$ 0	
i. CDC	\$ 3,854,137		\$ 2,380,573		\$ 1,837,125	
j. Education	\$ 26,210,607		\$ 25,623,183		\$ 25,550,992	
k. Other						
Title X (Family Plan	\$ 0		\$ 10,296,956		\$ 9,085,127	
Family Planning	\$ 10,528,501		\$ 0		\$ 0	
III. SUBTOTAL	\$ 44,307,745		\$ 42,076,539		\$ 40,337,744	

FORM 4

BUDGET DETAILS BY TYPES OF INDIVIDUALS SERVED (I) AND SOURCES OF OTHER FEDERAL FUNDS (II)

[Secs 506(2)(2)(iv)]

STATE: NY

	FY 2009		FY 2010		FY 2011	
	BUDGETED	EXPENDED	BUDGETED	EXPENDED	BUDGETED	EXPENDED
I. Federal-State MCH Block Grant Partnership						
a. Pregnant Women	\$ 76,287,545	\$ 70,922,081	\$ 77,507,975		\$ 70,606,837	
b. Infants < 1 year old	\$ 46,193,308	\$ 44,833,643	\$ 67,645,380		\$ 38,939,501	
c. Children 1 to 22 years old	\$ 125,026,052	\$ 108,881,991	\$ 121,371,304		\$ 109,314,803	
d. Children with Special Healthcare Needs	\$ 540,975,612	\$ 580,974,370	\$ 506,821,678		\$ 566,769,437	
e. Others	\$ 112,109,458	\$ 89,425,117	\$ 94,488,959		\$ 70,749,273	
f. Administration	\$ 16,059,544	\$ 9,228,306	\$ 13,118,876		\$ 8,067,612	
g. SUBTOTAL	\$ 916,651,519	\$ 904,265,508	\$ 880,954,172	\$ 0	\$ 864,447,463	\$ 0
II. Other Federal Funds (under the control of the person responsible for administration of the Title V program).						
a. SPRANS	\$ 150,000		\$ 150,000		\$ 0	
b. SSDI	\$ 100,000		\$ 568,638		\$ 93,713	
c. CISS	\$ 140,000		\$ 0		\$ 0	
d. Abstinence Education	\$ 0		\$ 0		\$ 0	
e. Healthy Start	\$ 0		\$ 0		\$ 0	
f. EMSC	\$ 0		\$ 0		\$ 0	
g. WIC	\$ 0		\$ 0		\$ 0	
h. AIDS	\$ 0		\$ 0		\$ 0	
i. CDC	\$ 1,939,252		\$ 1,334,619		\$ 1,724,830	
j. Education	\$ 23,636,568		\$ 23,831,850		\$ 50,238,349	
k. Other						
HRSA	\$ 0		\$ 0		\$ 1,131,973	
Medicaid Match	\$ 9,758,117		\$ 9,503,861		\$ 8,546,452	
TANF	\$ 0		\$ 0		\$ 2,500,000	
Title X	\$ 0		\$ 0		\$ 10,961,481	
Title X-Fam Planning	\$ 10,420,000		\$ 10,512,876		\$ 0	
III. SUBTOTAL	\$ 46,143,937		\$ 45,901,844		\$ 75,196,798	

FORM NOTES FOR FORM 4

None

FIELD LEVEL NOTES

1. **Section Number:** Form4_I. Federal-State MCH Block Grant Partnership
Field Name: PregWomenBudgeted
Row Name: Pregnant Women
Column Name: Budgeted
Year: 2009
Field Note:
adjust \$1 for rounding
2. **Section Number:** Form4_I. Federal-State MCH Block Grant Partnership
Field Name: Children_1_22Expended
Row Name: Children 1 to 22 years old
Column Name: Expended
Year: 2009
Field Note:
Delayed start for new initiatives and state appropriation reductions resulted in underexpenditures.
3. **Section Number:** Form4_I. Federal-State MCH Block Grant Partnership
Field Name: CSHCNExpended
Row Name: CSHCN
Column Name: Expended
Year: 2009
Field Note:
Expenditures for Children with Special Healthcare Needs exceeds the budgeted amount by 7.4%. The 2009 budgeted amount is a projection of expenditures rather than actual expenditures. The 2009 expenditures increase was most likely due to the timing of claim submissions for the Early Intervention Program where reported local share and program income were up 18%.
4. **Section Number:** Form4_I. Federal-State MCH Block Grant Partnership
Field Name: AllOthersExpended
Row Name: All Others
Column Name: Expended
Year: 2009
Field Note:
State appropriations reductions, delayed start of new initiatives and delayed claiming for some initiatives resulted in underexpenditures for this fiscal year.
5. **Section Number:** Form4_I. Federal-State MCH Block Grant Partnership
Field Name: AdminExpended
Row Name: Administration
Column Name: Expended
Year: 2009
Field Note:
Budget calculation for Local administrative budget inadvertently doubled.

FORM 5
STATE TITLE V PROGRAM BUDGET AND EXPENDITURES BY TYPES OF SERVICES

[Secs. 505(a)(2)(A-B) and 506(a)(1)(A-D)]

STATE: NY

TYPE OF SERVICE	FY 2006		FY 2007		FY 2008	
	BUDGETED	EXPENDED	BUDGETED	EXPENDED	BUDGETED	EXPENDED
I. Direct Health Care Services (Basic Health Services and Health Services for CSHCN.)	\$ 635,696,049	\$ 617,901,245	\$ 587,681,132	\$ 545,637,112	\$ 479,686,457	\$ 468,968,888
II. Enabling Services (Transportation, Translation, Outreach, Respite Care, Health Education, Family Support Services, Purchase of Health Insurance, Case Management, and Coordination with Medicaid, WIC, and Education.)	\$ 187,621,486	\$ 179,091,959	\$ 171,011,137	\$ 124,847,808	\$ 111,547,731	\$ 103,589,315
III. Population-Based Services (Newborn Screening, Lead Screening, Immunization, Sudden Infant Death Syndrome Counseling, Oral Health, Injury Prevention, Nutrition, and Outreach/Public Education.)	\$ 102,671,574	\$ 105,710,343	\$ 70,123,615	\$ 68,531,654	\$ 118,240,385	\$ 113,204,948
IV. Infrastructure Building Services (Needs Assessment, Evaluation, Planning, Policy Development, Coordination, Quality Assurance, Standards Development, Monitoring, Training, Applied Research, Systems of Care, and Information Systems.)	\$ 71,498,782	\$ 97,399,351	\$ 76,004,950	\$ 78,488,037	\$ 234,623,860	\$ 229,074,808
V. Federal-State Title V Block Grant Partnership Total (Federal-State Partnership only. Item 15g of SF 42r. For the "Budget" columns this is the same figure that appears in Line 8, Form 2, and in the "Budgeted" columns of Line 7 Form 3. For the "Expended" columns this is the same figure that appears in the "Expended" columns of Line 7, Form 3.)	\$ 997,487,891	\$ 1,000,102,898	\$ 904,820,834	\$ 817,504,611	\$ 944,098,433	\$ 914,837,959

FORM 5
STATE TITLE V PROGRAM BUDGET AND EXPENDITURES BY TYPES OF SERVICES

[Secs. 505(a)(2)(A-B) and 506(a)(1)(A-D)]

STATE: NY

TYPE OF SERVICE	FY 2009		FY 2010		FY 2011	
	BUDGETED	EXPENDED	BUDGETED	EXPENDED	BUDGETED	EXPENDED
I. Direct Health Care Services (Basic Health Services and Health Services for CSHCN.)	\$ 542,289,899	\$ 567,918,281	\$ 549,101,044	\$	\$ 581,216,529	\$
II. Enabling Services (Transportation, Translation, Outreach, Respite Care, Health Education, Family Support Services, Purchase of Health Insurance, Case Management, and Coordination with Medicaid, WIC, and Education.)	\$ 72,957,273	\$ 69,242,156	\$ 73,676,681	\$	\$ 59,929,280	\$
III. Population-Based Services (Newborn Screening, Lead Screening, Immunization, Sudden Infant Death Syndrome Counseling, Oral Health, Injury Prevention, Nutrition, and Outreach/Public Education.)	\$ 110,605,239	\$ 91,433,990	\$ 114,544,747	\$	\$ 88,451,645	\$
IV. Infrastructure Building Services (Needs Assessment, Evaluation, Planning, Policy Development, Coordination, Quality Assurance, Standards Development, Monitoring, Training, Applied Research, Systems of Care, and Information Systems.)	\$ 190,799,108	\$ 175,671,081	\$ 143,631,700	\$	\$ 134,850,009	\$
V. Federal-State Title V Block Grant Partnership Total (Federal-State Partnership only. Item 15g of SF 42r. For the "Budget" columns this is the same figure that appears in Line 8, Form 2, and in the "Budgeted" columns of Line 7 Form 3. For the "Expended" columns this is the same figure that appears in the "Expended" columns of Line 7, Form 3.)	\$ 916,651,519	\$ 904,265,508	\$ 880,954,172	\$ 0	\$ 864,447,463	\$ 0

FORM NOTES FOR FORM 5

None

FIELD LEVEL NOTES

1. **Section Number:** Form5_Main
Field Name: EnablingBudgeted
Row Name: Enabling Services
Column Name: Budgeted
Year: 2011
Field Note:
The enabling services budget for FY11 is 18.6% less than the FY10 budget and 13% less than FY09 expenditures. Variances can be attributed to increased levels of review and assessment of the populations being served and the type of service being provided by initiative. Also, the Department has increased efforts to identify and match state dollars for appropriate initiatives; a result of this has been a decrease or elimination of those dollars in the MCHSBG application. Although these dollars are no longer reflected in this form, the maternal and child health related services continue to be provided by New York State at the same level as previous years.
2. **Section Number:** Form5_Main
Field Name: PopBasedExpended
Row Name: Population-Based Services
Column Name: Expended
Year: 2009
Field Note:
State appropriation reductions and delayed start of new initiatives.

FORM 6

NUMBER AND PERCENTAGE OF NEWBORNS AND OTHERS SCREENED, CASES CONFIRMED, AND TREATED

Sect. 506(a)(2)(B)(iii)

STATE: NY

Total Births by Occurrence: 249,471

Reporting Year: 2009

Type of Screening Tests	(A) Receiving at least one Screen (1)		(B) No. of Presumptive Positive Screens	(C) No. Confirmed Cases (2)	(D) Needing Treatment that Received Treatment (3)	
	No.	%			No.	%
Phenylketonuria	249,471	100	36	19	19	100
Congenital Hypothyroidism	249,471	100	1,046	212	212	100
Galactosemia	249,471	100	10	5	5	100
Sickle Cell Disease	249,471	100	73	73	73	100
Other Screening (Specify)						
Biotinidase Deficiency	249,471	100	19	13	13	100
Congenital Adrenal Hyperplasia	249,471	100	410	11	11	100
Cystic Fibrosis	249,471	100	1,551	69	69	100
Homocystinuria	249,471	100	13	0	0	
Maple Syrup Urine Disease	249,471	100	16	0	0	
HIV-1	249,471	100	575	575	575	100
beta-ketothiolase deficiency	249,471	100	0	0	0	
Tyrosinemia Type I	249,471	100	9	1	1	100
Very Long-Chain Acyl-CoA Dehydrogenase Deficiency	249,471	100	15	4	4	100
Isovaleric Acidemia	249,471	100	12	1	1	100
Carnitine Uptake Defect	249,471	100	6	0	0	
3-Methylcrotonyl-CoA Carboxylase Deficiency	249,471	100	113	25	25	100
Glutaric Acidemia Type I	249,471	100	17	3	3	100
Sickle Cell Anemia (SS-Disease)	249,471	100	148	148	148	100
Medium-Chain Acyl-CoA Dehydrogenase Deficiency	249,471	100	33	5	5	100
Long-Chain L-3-Hydroxy Acyl-CoA Dehydrogenase Deficiency	249,471	100	3	0	0	
Other Hemoglobin Disorders	249,471	100	37	37	37	100
Carnitine Palmitoyltransferase I deficiency	249,471	100	19	0	0	
Carnitine-acylcarnitine translocase deficiency	249,471	100	6	1	1	100
2,4-Diethyl-CoA reductase deficiency	249,471	100	0	0	0	
Short-Chain Acyl-CoA Dehydrogenase Deficiency	249,471	100	15	9	9	100
Medium/Short chain Hydroxy Acyl- CoA Dehydrogenase Deficiency	249,471	100	0	0	0	
Argininemia	249,471	100	4	1	1	100
Hemoglobin C Disease	249,471	100	13	13	13	100
Malonic acidemia	249,471	100	0	0	0	
PA/MMA/MCD/Cbl A,B,C,D/MUT	249,471	100	40	3	3	100
Hyperammonemia / hyperonithinemia/homocitrullinemia	249,471	100	10	0	0	
Krabbe Disease	249,471	100	48	2	2	100

Screening Programs for Older Children & Women (Specify Tests by name)
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- | |
|--|
| (1) Use occurrent births as denominator.
(2) Report only those from resident births.
(3) Use number of confirmed cases as denominator. |
|--|

FORM NOTES FOR FORM 6

None

FIELD LEVEL NOTES

1. **Section Number:** Form6_Main
Field Name: BirthOccurence
Row Name: Total Births By Occurence
Column Name: Total Births By Occurence
Year: 2011
Field Note:
Occurent births for calendar year 2009
2. **Section Number:** Form6_Main
Field Name: SickCellDisease_Presumptive
Row Name: SickCellDisease
Column Name: Presumptive positive screens
Year: 2011
Field Note:
Number used is occurent screens
3. **Section Number:** Form6_Main
Field Name: SickCellDisease_Confirmed
Row Name: SickCellDisease
Column Name: Confirmed Cases
Year: 2011
Field Note:
Numbers are confirmed
4. **Section Number:** Form6_Other Screening Types
Field Name: Other
Row Name: All Rows
Column Name: All Columns
Year: 2011
Field Note:
Phenylketonuria - includes all Hyperphenylalanemias.
Tyrosinemia - includes Tyrosinemia-I, -II, and -III.
Congenital hypothyroidism - includes Primary, Secondary/Tertiary, TBG and Other.
HIV-1 -Confirmed by diagnosis developed by the AIDS Institute.
Short-Chain acyl-CoA dehydrogenase deficiency - SCAD and IBCD are screened using identical markers. Screen positive totals are counted only once.

FORM 7
NUMBER OF INDIVIDUALS SERVED (UNDUPLICATED) UNDER TITLE V
(BY CLASS OF INDIVIDUALS AND PERCENT OF HEALTH COVERAGE)

[Sec. 506(a)(2)(A)(i-ii)]

STATE: NY

Reporting Year: 2009

Types of Individuals Served	TITLE V	PRIMARY SOURCES OF COVERAGE				
	(A) Total Served	(B) Title XIX %	(C) Title XXI %	(D) Private/Other %	(E) None %	(F) Unknown %
Pregnant Women	385,884	44.6	0.0	43.9	1.8	9.7
Infants < 1 year old	250,282	44.6	0.8	43.1	1.8	9.7
Children 1 to 22 years old	5,456,881	30.3	6.9	55.7	7.1	0.0
Children with Special Healthcare Needs	486,192	26.0	28.0	32.0	24.0	12.0
Others	434,102					
TOTAL	7,013,341					

FORM NOTES FOR FORM 7

"Others" include individuals served in the Department's MCH programs including the American Indian Health Program, Asthma, Infertility Demonstration Program, Family Planning and Reproductive Health Care Program, Community Health Worker Program, Dental Health TA Center, Immunization, Migrant Health, Osteoporosis Prevention and Education Program, Rape Crisis and Sexual Violence Prevention Program, STD Program, Nurse Family Partnership, WIC and the Department's Growing Up Healthy Hotline. "Others" include all individuals not included in the other the categories (i.e., infants, children and adolescents and pregnant women.) Decrease in numbers served is not related to a decrease in services, rather to more intensive analysis in data for numbers served.

FIELD LEVEL NOTES

1. **Section Number:** Form7_Main
Field Name: PregWomen_XIX
Row Name: Pregnant Women
Column Name: Title XIX %
Year: 2011
Field Note:
The estimate is based on the percentage of live births to women with medicaid as their primary financial coverage. (2008 Vital Records Data)
2. **Section Number:** Form7_Main
Field Name: PregWomen_Private
Row Name: Pregnant Women
Column Name: Private/Other %
Year: 2011
Field Note:
The estimate is based on the percentage of live births to women with private and other government insurance as their primary financial coverage.(2008 Vital Records Data)
3. **Section Number:** Form7_Main
Field Name: PregWomen_None
Row Name: Pregnant Women
Column Name: None %
Year: 2011
Field Note:
The estimate is based on the percentage of live births to women with self pay as their primary financial coverage. (2008 Vital Records Data)
4. **Section Number:** Form7_Main
Field Name: PregWomen_Unknown
Row Name: Pregnant Women
Column Name: Unknown %
Year: 2011
Field Note:
The estimate is based on the percentage of live births to women with unknown financial coverage. (2008 Vital Records Data)
5. **Section Number:** Form7_Main
Field Name: Children_0_1_XIX
Row Name: Infants <1 year of age
Column Name: Title XIX %
Year: 2011
Field Note:
The estimate is based on the percentage of births to women with medicaid as their primary financial coverage.(2008 Vital Records Data)
6. **Section Number:** Form7_Main
Field Name: Children_0_1_XXI
Row Name: Infants <1 year of age
Column Name: Title XXI %
Year: 2011
Field Note:
The percentage of children less than one who are covered by Child Health Plus. (2009 Child Health Plus enrollment data)
7. **Section Number:** Form7_Main
Field Name: Children_0_1_Private
Row Name: Infants <1 year of age
Column Name: Private/Other %
Year: 2011
Field Note:
The estimate is based on the percentage of live births to women with private and other government insurance as their primary financial coverage
8. **Section Number:** Form7_Main
Field Name: Children_0_1_None
Row Name: Infants <1 year of age
Column Name: None %
Year: 2011
Field Note:
The estimate is based on the percentage of births to women with self pay as thier primary financial coverage. ((Vital Records Data)
9. **Section Number:** Form7_Main
Field Name: Children_0_1_Unknown
Row Name: Infants <1 year of age
Column Name: Unknown %
Year: 2011
Field Note:
The estimate is based on the percentage of live births to women with unknown financial coverage. (2008 Vital Records Data)
10. **Section Number:** Form7_Main
Field Name: Children_1_22_XIX
Row Name: Children 1 to 22 years of age
Column Name: Title XIX %
Year: 2011
Field Note:
The estimate is based on the percentage of children less than 19 who are covered by government insurance minus the percentage of children covered by Child Health Plus. (2009 Current Population Survey data and 2009 Child Health Plus enrollment data)
11. **Section Number:** Form7_Main
Field Name: Children_1_22_XXI
Row Name: Children 1 to 22 years of age
Column Name: Title XXI %
Year: 2011

Field Note:

The estimate is based on the percentage of children ages 1-22 covered by Child Health Plus. (2009 Child Health Plus enrollment data)

12. Section Number: Form7_Main

Field Name: Children_1_22_Private

Row Name: Children 1 to 22 years of age

Column Name: Private/Other %

Year: 2011

Field Note:

The estimate is based on the percentage of children under age 19 who have private health insurance. (2009 Current Population Survey data)

13. Section Number: Form7_Main

Field Name: Children_1_22_None

Row Name: Children 1 to 22 years of age

Column Name: None %

Year: 2011

Field Note:

The estimate is based on the percentage of Children under age 19 who have no health insurance. (2009 Current Population Survey data)

14. Section Number: Form7_Main

Field Name: CSHCN_TS

Row Name: Children with Special Health Care Needs

Column Name: Title V Total Served

Year: 2011

Field Note:

xx

15. Section Number: Form7_Main

Field Name: AllOthers_TS

Row Name: Others

Column Name: Title V Total Served

Year: 2011

Field Note:

"Others" include individuals served in the Department's MCH programs including the American Indian Health Program, Asthma, Infertility Demonstration Program, Family Planning and Reproductive Health Care Program, Community Health Worker Program, Dental Health TA Center, Immunization, Migrant Health, Osteoporosis Prevention and Education Program, Rape Crisis and Sexual Violence Prevention Program, STD Program, Nurse Family Partnership, WIC and the Department's Growing Up Healthy Hotline. "Others" include all individuals not included in the other the categories (i.e., infants, children and adolescents and pregnant women.)

FORM 8
DELIVERIES AND INFANTS SERVED BY TITLE V AND ENTITLED TO BENEFITS UNDER TITLE
XIX
(BY RACE AND ETHNICITY)
[SEC. 506(A)(2)(C-D)]
STATE: NY

Reporting Year: 2008

I. UNDUPLICATED COUNT BY RACE

	(A) Total All Races	(B) White	(C) Black or African American	(D) American Indian or Native Alaskan	(E) Asian	(F) Native Hawaiian or Other Pacific Islander	(G) More than one race reported	(H) Other and Unknown
DELIVERIES								
Total Deliveries in State	243,304	160,295	44,680					38,329
Title V Served	243,304	160,295	44,680					38,329
Eligible for Title XIX	126,882	59,993	28,560					38,329
INFANTS								
Total Infants in State	248,109	163,722	45,520					38,867
Title V Served	248,109	163,722	45,520					38,867
Eligible for Title XIX	111,282	60,766	29,037					21,479

II. UNDUPLICATED COUNT BY ETHNICITY

				HISPANIC OR LATINO (Sub-categories by country or area of origin)				
	(A) Total NOT Hispanic or Latino	(B) Total Hispanic or Latino	(C) Ethnicity Not Reported	(B.1) Mexican	(B.2) Cuban	(B.3) Puerto Rican	(B.4) Central and South American	(B.5) Other and Unknown
DELIVERIES								
Total Deliveries in State	184,329	58,975	0					58,975
Title V Served	184,329	58,975	0					58,975
Eligible for Title XIX	68,121	41,698	0					41,698
INFANTS								
Total Infants in State	188,345	59,764	0					59,764
Title V Served	188,345	59,764	0					59,764
Eligible for Title XIX	10,731	9,583	0					9,583

FORM NOTES FOR FORM 8

None

FIELD LEVEL NOTES

1. **Section Number:** Form8_I. Unduplicated Count By Race

Field Name: DeliveriesTotal_All

Row Name: Total Deliveries in State

Column Name: Total All Races

Year: 2011

Field Note:

Table 8 represents the data for calendar year 2008 and Table 6 represents the occurrent births for calendar year 2009.

FORM 9
STATE MCH TOLL-FREE TELEPHONE LINE DATA FORM (OPTIONAL)
[SECS. 505(A)(E) AND 509(A)(8)]
STATE: NY

	FY 2011	FY 2010	FY 2009	FY 2008	FY 2007
1. State MCH Toll-Free "Hotline" Telephone Number	_____	_____	_____	_____	_____
2. State MCH Toll-Free "Hotline" Name	_____	_____	_____	_____	_____
3. Name of Contact Person for State MCH "Hotline"	_____	_____	_____	_____	_____
4. Contact Person's Telephone Number	_____	_____	_____	_____	_____
5. Contact Person's Email	_____	_____	_____	_____	_____
6. Number of calls received on the State MCH "Hotline" this reporting period	_____ 0	_____ 0	_____ 0	_____ 0	_____ 0

FORM 9
STATE MCH TOLL-FREE TELEPHONE LINE DATA FORM
[SECS. 505(A)(E) AND 509(A)(8)]
STATE: NY

	FY 2011	FY 2010	FY 2009	FY 2008	FY 2007
1. State MCH Toll-Free "Hotline" Telephone Number	<u>(800)522-5006</u>	<u>(800) 522-5006</u>	<u>(800) 522-5006</u>	<u>(800)522-5006</u>	<u>(800) 522-5006</u>
2. State MCH Toll-Free "Hotline" Name	The Growing Up Healthy Hotline	The Growing Up Healthy Hotline	The Growing Up Healthy Hotline	The Growing Up Healthy Hotline	The Growing Up Healthy Hotline
3. Name of Contact Person for State MCH "Hotline"	<u>Michael Acosta</u>	<u>Michael Acosta</u>	<u>Michael Acosta</u>	<u>Michael Acosta</u>	<u>Rudy Lewis</u>
4. Contact Person's Telephone Number	<u>(518)474-3664</u>	<u>(518) 474-1911</u>	<u>(518) 474-1911</u>	<u>(518)474-1911</u>	<u>(518) 474-1911</u>
5. Contact Person's Email	<u>maa04@health.state.ny.t</u>	<u>maa04@health.state.ny.t</u>	<u></u>	<u></u>	<u></u>
6. Number of calls received on the State MCH "Hotline" this reporting period	<u>0</u>	<u>0</u>	<u>61,518</u>	<u>69,506</u>	<u>60,471</u>

FORM NOTES FOR FORM 9

None

FIELD LEVEL NOTES

None

FORM 10
TITLE V MATERNAL & CHILD HEALTH SERVICES BLOCK GRANT
STATE PROFILE FOR FY 2011
[SEC. 506(A)(1)]
STATE: NY

1. State MCH Administration:
(max 2500 characters)

The New York State Department of Health's Division of Family Health administers the Title V program in New York State. The Title V program supports activities designed to improve the health status of women, particularly those of reproductive age, infants, children and adolescents, including those with special health care needs. Funds support public health/infrastructure, population-based, enabling and gap-filling personal health care services for those with limited access to high quality, continuous health care. The Division of Family Health encompasses three Bureaus (Maternal and Child Health, Dental Health, and Early Intervention), and is supported by the Office of the Medical Director. The Division also provides leadership for the State Systems Development Initiative (SSDI), the American Indian Health Program, MCH Graduate Student Assistantship Program, and the Migrant and Seasonal Farmworker Health Program. All programs work closely with the Department's Office of Health Insurance Programs (OHIP), which oversees the state's Medicaid program, and the Office of Health Systems Management, which licenses and monitors hospitals and clinics throughout the state.

Block Grant Funds

2. Federal Allocation (Line 1, Form 2)	\$ 41,036,806
3. Unobligated balance (Line 2, Form 2)	\$ 0
4. State Funds (Line 3, Form 2)	\$ 336,529,505
5. Local MCH Funds (Line 4, Form 2)	\$ 313,430,367
6. Other Funds (Line 5, Form 2)	\$ 0
7. Program Income (Line 6, Form 2)	\$ 173,450,785
8. Total Federal-State Partnership (Line 8, Form 2)	\$ 864,447,463

9. Most significant providers receiving MCH funds:

Family planning programs
Lead poisoning prevention and education services
School-based health centers
Oral Health

10. Individuals served by the Title V Program (Col. A, Form 7)

a. Pregnant Women	385,884
b. Infants < 1 year old	250,282
c. Children 1 to 22 years old	5,456,881
d. CSHCN	486,192
e. Others	434,102

11. Statewide Initiatives and Partnerships:

a. Direct Medical Care and Enabling Services:
(max 2500 characters)

School-based health centers, family planning and reproductive health, regional perinatal centers, community health workers, nurse /family partnership and home visiting, primary health and dental care for migrant and seasonal farmworkers and their families, genetic services, care coordination, children with special health care needs program, services to native american women and children, physically handicapped children diagnosis and evaluation, dental rehabilitation program, and patient education, translation, and transportation.

b. Population-Based Services:
(max 2500 characters)

Childhood lead poisoning prevention, newborn genetics and hearing screening, population-based health education campaigns, including prenatal outreach and education, breastfeeding promotion, the Growing Up Healthy Hotline, injury prevention, immunization, health information media, overweight prevention, nutrition and physical activities programs for children and adolescents, community-based adolescent sexual health and pregnancy prevention, youth development, minority health community coalitions, and migrant health outreach and education.

c. Infrastructure Building Services:
(max 2500 characters)

Statewide Perinatal Data System, maternal death review, hospital discharge data system (SPARCS) and quality assurance reporting, statewide immunization registry (NYSIS), surveillance and public health information, state systems development initiative, child health information integration, community health assessments, public health workforce development, evaluation and monitoring, contract management, emergency preparedness, standards and guidelines development. Education-related activities include the Preventive Medicine and Dental Public Health residency programs, public health nurse continuing education, the MCH Graduate Assistantship program, monthly satellite broadcasts, the Statewide Oral Health Technical Assistance Center, participation in regional training centers, national meetings and organizations.

12. The primary Title V Program contact person:

Name	Barbara L. McTague
Title	Director, Division of Family Health
Address	NYS Dept of Health, Empire State Plaza, Corning Tower
City	Albany

13. The children with special health care needs (CSHCN) contact person:

Name	Susan Slade, MS, RN
Title	Director, Medical Home Unit, Bureau of Maternal and Child Health
Address	NYS Dept of Health, Empire State Plaza, Corning Tower
City	Albany

State	NY
Zip	12237-0657
Phone	(518) 474-6968
Fax	(518) 473-2015
Email	blm01@health.state.ny.us
Web	www.health.state.ny.us

State	NY
Zip	12237-0618
Phone	(518) 474-2079
Fax	(518) 473-2015
Email	sjs11@health.state.ny.us
Web	www.health.state.ny.us

FORM NOTES FOR FORM 10

None

FIELD LEVEL NOTES

None

FORM 11
TRACKING PERFORMANCE MEASURES
[SECS 485 (2)(2)(B)(iii) AND 486 (A)(2)(A)(iii)]
STATE: NY

Form Level Notes for Form 11

NPM 01 2007, 2008, and 2009 numerator and denominator data represent only screen positives, unlike previous years, where these numbers represent all newborns screened. For 2007-2009, the numerator is the number of cases closed & the denominator is the number of screen positive newborns for the year. The annual indicator is the number of closed cases divided by number of screen positive cases reported as a percent. 2008 data is being used as a proxy for 2009 data. 2009 data will be available by late 2010. NPM07 2008 data is being used as a proxy for 2009 data. It is estimated that final 2009 immunization data will be available from CDC late 2010 or early 2011. NPM08 2008 data has been updated and finalized since previous submission. 2008 data are used as a proxy for 2009 data, which are not yet available. It is estimated that 2009 data will be available by late 2010. NPM10 2008 data is being used as a proxy for 2009. The number of motor vehicle deaths is based on the definition used by the NYSDOH Bureau of Biometrics and Health Statistics and includes pedestrians and cyclists. The definition changed in 2004 as prior to that time, pedestrians and cyclists were not included. It is estimated that 2009 data will be available by late 2010. NPM11 Data source is the National Immunization Survey. 2008 data has been revised and finalized from previous submission. 2008 data is for 2006 birth cohort. 2008 data is being used as a proxy for 2009 data. It is estimated that final 2009 data will be available from CDC late 2010 or early 2011. NPM12 2008 data is being used as a proxy for 2009 data. It is estimated that 2009 data will be available by late 2010. NPM13 2008 data is being used as a proxy for 2009 data. It is estimated that 2009 data will be available by late 2010. NPM14 2008 data is being used as a proxy for 2009 data. It is estimated that 2009 data will be available by late 2010. NPM15 Data is from the NYS Prams Survey, excluding NYC as that data is not yet available. 2008 data has been finalized since previous submission. 2008 data is being used as a proxy for 2009. In comparing data from the NYC and Upstate PRAMS surveys for 2005-2007, women giving birth in Upstate NY in 2007 were more likely to smoke during the last 3 months of pregnancy as compared to women residing in NYC (13.7% and 4.7% respectively). NPM16 2008 data is being used as a proxy for 2009 data. It is estimated that 2009 data will be available by late 2010. NPM17 2008 data has been finalized. 2008 data is being used as a proxy for 2009. It is estimated that 2009 data will be available by late 2010. NPM18 2008 data has been finalized since previous submission. 2008 data is being used as a proxy for 2009 data. The denominator is the total number of births for which prenatal care initiation is known and excludes births where trimester of entry into prenatal care is unknown. 2009 data will be available by late 2010.

PERFORMANCE MEASURE # 01

The percent of screen positive newborns who received timely follow up to definitive diagnosis and clinical management for condition(s) mandated by their State-sponsored newborn screening programs.

Annual Objective and Performance Data					
	2005	2006	2007	2008	2009
Annual Performance Objective	100	100	100	100	100
Annual Indicator	100.0	100.0	77.2	76.0	76.0
Numerator	246,243	252,014	3,542	3,238	3,238
Denominator	246,243	252,014	4,586	4,263	4,263
Data Source				Newborn Screening Program data set	Newborn Screening
Check this box if you cannot report the numerator because					
1. There are fewer than 5 events over the last year, and					
2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.					
(Explain data in a year note. See Guidance, Appendix IX.)					
Is the Data Provisional or Final?				Final	Provisional

Annual Objective and Performance Data					
	2010	2011	2012	2013	2014
Annual Performance Objective	100	100	100	100	100
Annual Indicator					
Numerator					
Denominator					

Field Level Notes

1. Section Number: Form11_Performance Measure #1

Field Name: PM01

Row Name:

Column Name:

Year: 2009

Field Note:

2008 data are being used as a proxy for 2009.

Unlike in 2002 through 2006, the numerator and denominator numbers in 2007 and 2008 represent only the infants screened positive, rather than all infants screened.

2. Section Number: Form11_Performance Measure #1

Field Name: PM01

Row Name:

Column Name:

Year: 2008

Field Note:

Unlike in 2002 through 2006, the numerator and denominator numbers in 2007 and 2008 represent only the infants screened positive, rather than all infants screened.

3. Section Number: Form11_Performance Measure #1

Field Name: PM01

Row Name:

Column Name:

Year: 2007

Field Note:

Unlike in 2002 through 2006, the numerator and denominator numbers in 2007 and 2008 represent only the infants screened positive, rather than all infants screened.

PERFORMANCE MEASURE # 02

The percent of children with special health care needs age 0 to 18 years whose families partner in decision making at all levels and are satisfied with the services they receive. (CSHCN survey)

	<u>Annual Objective and Performance Data</u>				
	2005	2006	2007	2008	2009
Annual Performance Objective	62	64	66	66	60
Annual Indicator	60.3	60.3	59	59	59
Numerator					
Denominator					
Data Source				CSHCN survey	CSHCN survey
Check this box if you cannot report the numerator because 1. There are fewer than 5 events over the last year, and 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied. (Explain data in a year note. See Guidance, Appendix IX.)					
Is the Data Provisional or Final?				Final	Final

	<u>Annual Objective and Performance Data</u>				
	2010	2011	2012	2013	2014
Annual Performance Objective	62	63	64	65	65
Annual Indicator					
Numerator					
Denominator					

Field Level Notes**1. Section Number:** Form11_Performance Measure #2**Field Name:** PM02**Row Name:****Column Name:****Year:** 2009**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. The same questions were used to generate the NPM02 indicator for both the 2001 and the 2005-2006 CSHCN survey

2. Section Number: Form11_Performance Measure #2**Field Name:** PM02**Row Name:****Column Name:****Year:** 2008**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. The same questions were used to generate the NPM02 indicator for both the 2001 and the 2005-2006 CSHCN survey

3. Section Number: Form11_Performance Measure #2**Field Name:** PM02**Row Name:****Column Name:****Year:** 2007**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. The same questions were used to generate the NPM02 indicator for both the 2001 and the 2005-2006 CSHCN survey.

PERFORMANCE MEASURE # 03

The percent of children with special health care needs age 0 to 18 who receive coordinated, ongoing, comprehensive care within a medical home. (CSHCN Survey)

Annual Objective and Performance Data

	2005	2006	2007	2008	2009
Annual Performance Objective	52	55	58	58	46
Annual Indicator	51.7	51.7	45.2	45.2	45.2
Numerator					
Denominator					
Data Source				CSHCN survey	CSHCN survey
Check this box if you cannot report the numerator because 1. There are fewer than 5 events over the last year, and 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied. (Explain data in a year note. See Guidance, Appendix IX.)					
Is the Data Provisional or Final?				Final	Final

Annual Objective and Performance Data

	2010	2011	2012	2013	2014
Annual Performance Objective	48	49	50	50	51
Annual Indicator					
Numerator					
Denominator					

Field Level Notes**1. Section Number:** Form11_Performance Measure #3**Field Name:** PM03**Row Name:****Column Name:****Year:** 2009**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. Compared to the 2001 CSHCN survey, there were wording changes, skip pattern revisions and additions to the questions used to generate the NPM03 indicator for the 2005-2006 CSHCN survey. The data for the two surveys are not comparable for PM #03.

2. Section Number: Form11_Performance Measure #3**Field Name:** PM03**Row Name:****Column Name:****Year:** 2008**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. Compared to the 2001 CSHCN survey, there were wording changes, skip pattern revisions and additions to the questions used to generate the NPM03 indicator for the 2005-2006 CSHCN survey. The data for the two surveys are not comparable for PM #03.

3. Section Number: Form11_Performance Measure #3**Field Name:** PM03**Row Name:****Column Name:****Year:** 2007**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. Compared to the 2001 CSHCN survey, there were wording changes, skip pattern revisions and additions to the questions used to generate the NPM03 indicator for the 2005-2006 CSHCN survey. The data for the two surveys are not comparable for PM #03.

PERFORMANCE MEASURE # 04

The percent of children with special health care needs age 0 to 18 whose families have adequate private and/or public insurance to pay for the services they need. (CSHCN Survey)

	<u>Annual Objective and Performance Data</u>				
	2005	2006	2007	2008	2009
Annual Performance Objective	70	68	70	72	64
Annual Indicator	59.1	59.1	62.1	62.1	62.1
Numerator					
Denominator					
Data Source				CSHCN survey	CSHCN survey
Check this box if you cannot report the numerator because 1. There are fewer than 5 events over the last year, and 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied. (Explain data in a year note. See Guidance, Appendix IX.)					
Is the Data Provisional or Final?				Final	Final

	<u>Annual Objective and Performance Data</u>				
	2010	2011	2012	2013	2014
Annual Performance Objective	64	66	66	68	68
Annual Indicator					
Numerator					
Denominator					

Field Level Notes**1. Section Number:** Form11_Performance Measure #4**Field Name:** PM04**Row Name:****Column Name:****Year:** 2009**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. The same questions were used to generate the NPM04 indicator for both the 2001 and the 2005-2006 CSHCN survey.

2. Section Number: Form11_Performance Measure #4**Field Name:** PM04**Row Name:****Column Name:****Year:** 2008**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. The same questions were used to generate the NPM04 indicator for both the 2001 and the 2005-2006 CSHCN survey.

3. Section Number: Form11_Performance Measure #4**Field Name:** PM04**Row Name:****Column Name:****Year:** 2007**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. The same questions were used to generate the NPM04 indicator for both the 2001 and the 2005-2006 CSHCN survey.

PERFORMANCE MEASURE # 05

Percent of children with special health care needs age 0 to 18 whose families report the community-based service systems are organized so they can use them easily. (CSHCN Survey)

	<u>Annual Objective and Performance Data</u>				
	2005	2006	2007	2008	2009
Annual Performance Objective	78	80	82	91	92
Annual Indicator	75.3	75.3	90.6	90.6	90.6
Numerator					
Denominator					
Data Source				CSHCN survey	CSHCN survey
Check this box if you cannot report the numerator because					
1. There are fewer than 5 events over the last year, and					
2. The average number of events over the last 3 years is fewer					
than 5 and therefore a 3-year moving average cannot be					
applied.					
(Explain data in a year note. See Guidance, Appendix IX.)					
Is the Data Provisional or Final?				Final	Final

	<u>Annual Objective and Performance Data</u>				
	2010	2011	2012	2013	2014
Annual Performance Objective	92	92	93	93	94
Annual Indicator					
Numerator					
Denominator					

Field Level Notes**1. Section Number:** Form11_Performance Measure #5**Field Name:** PM05**Row Name:****Column Name:****Year:** 2009**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. Compared to the 2001 CSHCN survey, there were revisions to the wording, ordering and the number of the questions used to generate the NPM05 indicator for the 2005-2006 CSHCN survey. The data for the two surveys are not comparable for PM #05.

2. Section Number: Form11_Performance Measure #5**Field Name:** PM05**Row Name:****Column Name:****Year:** 2008**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. Compared to the 2001 CSHCN survey, there were revisions to the wording, ordering and the number of the questions used to generate the NPM05 indicator for the 2005-2006 CSHCN survey. The data for the two surveys are not comparable for PM #05.

3. Section Number: Form11_Performance Measure #5**Field Name:** PM05**Row Name:****Column Name:****Year:** 2007**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. Compared to the 2001 CSHCN survey, there were revisions to the wording, ordering and the number of the questions used to generate the NPM05 indicator for the 2005-2006 CSHCN survey. The data for the two surveys are not comparable for PM #05.

PERFORMANCE MEASURE # 06

The percentage of youth with special health care needs who received the services necessary to make transitions to all aspects of adult life, including adult health care, work, and independence.

<u>Annual Objective and Performance Data</u>					
	2005	2006	2007	2008	2009
Annual Performance Objective	7	7	9	40	40
Annual Indicator	5.8	5.8	38.4	38.4	38.4
Numerator					
Denominator					
Data Source				CSHCN survey	CSHCN survey
Check this box if you cannot report the numerator because 1. There are fewer than 5 events over the last year, and 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied. (Explain data in a year note. See Guidance, Appendix IX.)					
Is the Data Provisional or Final?				Final	Final

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective	40	43	43	43	44
Annual Indicator					
Numerator					
Denominator					

Field Level Notes**1. Section Number:** Form11_Performance Measure #6**Field Name:** PM06**Row Name:****Column Name:****Year:** 2009**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. Compared to the 2001 CSHCN survey, there were wording changes, skip pattern revisions, and additions to the questions used to generate the NPM06 indicator for the 2005-2006 CSHCN survey. There were also issues around the reliability of the 2001 data because of the sample size. The data for the two surveys are not comparable for PM #06 and the 2005-2006 may be considered baseline data.

2. Section Number: Form11_Performance Measure #6**Field Name:** PM06**Row Name:****Column Name:****Year:** 2008**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. Compared to the 2001 CSHCN survey, there were wording changes, skip pattern revisions, and additions to the questions used to generate the NPM06 indicator for the 2005-2006 CSHCN survey. There were also issues around the reliability of the 2001 data because of the sample size. The data for the two surveys are not comparable for PM #06 and the 2005-2006 may be considered baseline data.

3. Section Number: Form11_Performance Measure #6**Field Name:** PM06**Row Name:****Column Name:****Year:** 2007**Field Note:**

Indicator data comes from the National Survey of CSHCN, conducted by HRSA and CDC, 2005-2006. Compared to the 2001 CSHCN survey, there were wording changes, skip pattern revisions, and additions to the questions used to generate the NPM06 indicator for the 2005-2006 CSHCN survey. There were also issues around the reliability of the 2001 data because of the sample size. The data for the two surveys are not comparable for PM #06 and the 2005-2006 may be considered baseline data.

PERFORMANCE MEASURE # 07

Percent of 19 to 35 month olds who have received full schedule of age appropriate immunizations against Measles, Mumps, Rubella, Polio, Diphtheria, Tetanus, Pertussis, Haemophilus Influenza, and Hepatitis B.

Annual Objective and Performance Data					
	2005	2006	2007	2008	2009
Annual Performance Objective	85	86	87	88	80
Annual Indicator	81.6	83.5	83	76.2	76.2
Numerator					
Denominator					
Data Source				National Immunization Survey	National Immunization Survey
Do not report the numerator because 1. There are fewer than 5 events over the last year, and 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied. (Explain data in a year note. See Guidance, Appendix IX.)					
Is the Data Provisional or Final?				Final	Provisional

Annual Objective and Performance Data					
	2010	2011	2012	2013	2014
Annual Performance Objective	80	82	82	84	84
Annual Indicator					
Numerator					
Denominator					

Field Level Notes**1. Section Number:** Form11_Performance Measure #7**Field Name:** PM07**Row Name:****Column Name:****Year:** 2009**Field Note:**

2008 data are being used as a proxy for 2009.

2. Section Number: Form11_Performance Measure #7**Field Name:** PM07**Row Name:****Column Name:****Year:** 2008**Field Note:**

Data from the National Immunization Survey. Numerator and Denominator data are not available. Data are for the time period 1-08-12-08.

3. Section Number: Form11_Performance Measure #7**Field Name:** PM07**Row Name:****Column Name:****Year:** 2007**Field Note:**

Data from the National Immunization Survey. Numerator and Denominator data are not available. Data are for the time period 1/07-12/07.

PERFORMANCE MEASURE # 08

The rate of birth (per 1,000) for teenagers aged 15 through 17 years.

Annual Objective and Performance Data

	2005	2006	2007	2008	2009
Annual Performance Objective	13	12	11	11	12.5
Annual Indicator	13.7	13.1	13.2	12.9	12.9
Numerator	5,332	5,214	5,277	5,074	5,074
Denominator	390,618	398,091	398,693	392,716	392,716

Data Source

Vital Records

Vital Records

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer
 than 5 and therefore a 3-year moving average cannot be
 applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Annual Objective and Performance Data

	2010	2011	2012	2013	2014
Annual Performance Objective	12.3	12.1	11.9	11.9	11.8
Annual Indicator					
Numerator					
Denominator					

Field Level Notes1. **Section Number:** Form11_Performance Measure #8**Field Name:** PM08**Row Name:****Column Name:****Year:** 2009**Field Note:**

2008 data are being used as a proxy for 2009.

PERFORMANCE MEASURE # 09

Percent of third grade children who have received protective sealants on at least one permanent molar tooth.

<u>Annual Objective and Performance Data</u>					
	2005	2006	2007	2008	2009
Annual Performance Objective	40	30	35	40	28
Annual Indicator	27.0	27.0	27.0	27.0	27.0
Numerator	10,534	10,534	10,534	10,534	10,534
Denominator	39,014	39,014	39,014	39,014	39,014
Data Source				NYS 3rd Grade Dental Survey	NYS 3rd Grade Dental Survey
Check this box if you cannot report the numerator because 1. There are fewer than 5 events over the last year, and 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied. (Explain data in a year note. See Guidance, Appendix IX.)					
Is the Data Provisional or Final?				Provisional	Provisional

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective	39	39	30	31	31
Annual Indicator					
Numerator					
Denominator					

Field Level Notes

- Section Number:** Form11_Performance Measure #9
Field Name: PM09
Row Name:
Column Name:
Year: 2009
Field Note:
 2002-2004 data are being used as a proxy for 2007
- Section Number:** Form11_Performance Measure #9
Field Name: PM09
Row Name:
Column Name:
Year: 2008
Field Note:
 2002-2004 data are being used as a proxy for 2007.
- Section Number:** Form11_Performance Measure #9
Field Name: PM09
Row Name:
Column Name:
Year: 2007
Field Note:
 2002-2004 data are being used as a proxy for 2007.

PERFORMANCE MEASURE # 10

The rate of deaths to children aged 14 years and younger caused by motor vehicle crashes per 100,000 children.

	<u>Annual Objective and Performance Data</u>				
	2005	2006	2007	2008	2009
Annual Performance Objective	0.5	1.1	1	0.9	1.3
Annual Indicator	1.3	1.3	1.3	1.2	1.2
Numerator	49	50	48	43	43
Denominator	3,790,880	3,916,635	3,597,289	3,604,140	3,604,140
Data Source				Vital Records	Vital Records
Check this box if you cannot report the numerator because 1. There are fewer than 5 events over the last year, and 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied. (Explain data in a year note. See Guidance, Appendix IX.)					
Is the Data Provisional or Final?				Final	Provisional

	<u>Annual Objective and Performance Data</u>				
	2010	2011	2012	2013	2014
Annual Performance Objective	1.2	1.2	1.2	1.2	1.1
Annual Indicator					
Numerator					
Denominator					

Field Level Notes

1. **Section Number:** Form11_Performance Measure #10

Field Name: PM10

Row Name:

Column Name:

Year: 2009

Field Note:

2008 data are being used as a proxy for 2009 data.

The number of MV related deaths is based on the definition used by the NYS Department of Health, Bureau of Biometrics and Health Statistics.

2. **Section Number:** Form11_Performance Measure #10

Field Name: PM10

Row Name:

Column Name:

Year: 2008

Field Note:

The number of MV related deaths is based on the definition used by the NYS Department of Health, Bureau of Biometrics and Health Statistics.

3. **Section Number:** Form11_Performance Measure #10

Field Name: PM10

Row Name:

Column Name:

Year: 2007

Field Note:

The number of MV related deaths is based on the definition used by the NYS Department of Health, Bureau of Biometrics and Health Statistics.

PERFORMANCE MEASURE # 11

The percent of mothers who breastfeed their infants at 6 months of age.

Annual Objective and Performance Data					
	2005	2006	2007	2008	2009
Annual Performance Objective		40	43	51	44.5
Annual Indicator	37.2	50	50	49.4	49.4
Numerator					
Denominator					
Data Source				National Immunization Survey - breastfeeding suppl	National Immunization Survey
Do not report the numerator because there are fewer than 5 events over the last year, and the average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.					
Explain data in a year note. See Guidance, Appendix IX.)					
Is the Data Provisional or Final?				Final	Provisional

Annual Objective and Performance Data					
	2010	2011	2012	2013	2014
Annual Performance Objective	45.5	47	48	49	50
Annual Indicator					
Numerator					
Denominator					

Field Level Notes**1. Section Number:** Form11_Performance Measure #11**Field Name:** PM11**Row Name:****Column Name:****Year:** 2009**Field Note:**

2008 data are being used as a proxy for 2009 data.

2. Section Number: Form11_Performance Measure #11**Field Name:** PM11**Row Name:****Column Name:****Year:** 2008**Field Note:**

2008 data are based on the 2006 birth cohort.

Data Source: National Immunization Survey - breastfeeding supplement

3. Section Number: Form11_Performance Measure #11**Field Name:** PM11**Row Name:****Column Name:****Year:** 2007**Field Note:**

2007 data are based on the 2004 birth cohort.

Data Source: National Immunization Survey - breastfeeding supplement

PERFORMANCE MEASURE # 12

Percentage of newborns who have been screened for hearing before hospital discharge.

Annual Objective and Performance Data

	2005	2006	2007	2008	2009
Annual Performance Objective	100	100	100	100	100
Annual Indicator	98.8	97.9	98.5	98.7	98.7
Numerator	242,628	242,212	247,960	244,630	244,630
Denominator	245,675	247,352	251,760	247,928	247,928
Data Source				Newborn Hearing Screening Program	Newborn Hearing Screening Program
Check this box if you cannot report the numerator because					
1. There are fewer than 5 events over the last year, and					
2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.					
(Explain data in a year note. See Guidance, Appendix IX.)					
Is the Data Provisional or Final?				Final	Provisional

Annual Objective and Performance Data

	2010	2011	2012	2013	2014
Annual Performance Objective	100	100	100	100	100
Annual Indicator					
Numerator					
Denominator					

Field Level Notes1. **Section Number:** Form11_Performance Measure #12**Field Name:** PM12**Row Name:****Column Name:****Year:** 2009**Field Note:**

2008 data are being used as a proxy for 2009.

PERFORMANCE MEASURE # 13

Percent of children without health insurance.

Annual Objective and Performance Data

	2005	2006	2007	2008	2009
Annual Performance Objective	9	8.5	8	8	8.5
Annual Indicator	7.7	8.4	8.9	7.1	7.1
Numerator	347,000	380,000	395,000	310,000	310,000
Denominator	4,534,000	4,547,000	4,437,000	4,373,000	4,373,000
Data Source				Current Population Survey	Current Population Survey
Check this box if you cannot report the numerator because 1. There are fewer than 5 events over the last year, and 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied. (Explain data in a year note. See Guidance, Appendix IX.)					
Is the Data Provisional or Final?				Final	Provisional

Annual Objective and Performance Data

	2010	2011	2012	2013	2014
Annual Performance Objective	8.4	8.2	8	8	6
Annual Indicator					
Numerator					
Denominator					

Field Level Notes1. **Section Number:** Form11_Performance Measure #13**Field Name:** PM13**Row Name:****Column Name:****Year:** 2007**Field Note:**

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PERFORMANCE MEASURE # 14

Percentage of children, ages 2 to 5 years, receiving WIC services with a Body Mass Index (BMI) at or above the 85th percentile.

<u>Annual Objective and Performance Data</u>				
	2005	2006	2007	2008
Annual Performance Objective		32	31	30
Annual Indicator	32.1	32.0	32.0	32.0
Numerator	24,562	63,874	63,373	67,108
Denominator	76,566	199,608	198,041	209,713
Data Source				PedNSS
Check this box if you cannot report the numerator because				
1. There are fewer than 5 events over the last year, and				
2. The average number of events over the last 3 years is fewer				
than 5 and therefore a 3-year moving average cannot be				
applied.				
(Explain data in a year note. See Guidance, Appendix IX.)				
Is the Data Provisional or Final?				Final

<u>Annual Objective and Performance Data</u>				
	2010	2011	2012	2013
Annual Performance Objective	29	28	28	28
Annual Indicator				
Numerator				
Denominator				

Field Level Notes**1. Section Number:** Form11_Performance Measure #14**Field Name:** PM14**Row Name:****Column Name:****Year:** 2009**Field Note:**

2008 data are being used as a proxy for 2009.

2. Section Number: Form11_Performance Measure #14**Field Name:** PM14**Row Name:****Column Name:****Year:** 2007**Field Note:**

2006 data are being used as a proxy for 2007.

PERFORMANCE MEASURE # 15

Percentage of women who smoke in the last three months of pregnancy.

Annual Objective and Performance Data

	2005	2006	2007	2008	2009
Annual Performance Objective		15	14	14	13
Annual Indicator	15	12.2	13.7	11.9	11.9
Numerator					
Denominator					
Data Source				PRAMS	PRAMS
Check this box if you cannot report the numerator because					
1. There are fewer than 5 events over the last year, and					
2. The average number of events over the last 3 years is fewer					
than 5 and therefore a 3-year moving average cannot be					
applied.					
(Explain data in a year note. See Guidance, Appendix IX.)					
Is the Data Provisional or Final?				Final	Provisional

Annual Objective and Performance Data

	2010	2011	2012	2013	2014
Annual Performance Objective	12	11	11	11	10
Annual Indicator					
Numerator					
Denominator					

Field Level Notes

1. **Section Number:** Form11_Performance Measure #15

Field Name: PM15

Row Name:

Column Name:

Year: 2009

Field Note:

2008 NYS PRAMS data, exclusive of NYC, are being used as a proxy for 2009. Numerator and denominator data are not available (survey data).

2. **Section Number:** Form11_Performance Measure #15

Field Name: PM15

Row Name:

Column Name:

Year: 2008

Field Note:

Data from 2008PRAMS - NYS exclusive of NYC data. Numerator and denominator data are not available (survey data).

3. **Section Number:** Form11_Performance Measure #15

Field Name: PM15

Row Name:

Column Name:

Year: 2007

Field Note:

2006 NYS PRAMS data, exclusive of NYC, are being used as a proxy for 2007.

PERFORMANCE MEASURE # 16

The rate (per 100,000) of suicide deaths among youths aged 15 through 19.

Annual Objective and Performance Data

	2005	2006	2007	2008	2009
Annual Performance Objective	4.2	4.1	4.1	4	3.8
Annual Indicator	3.9	3.7	3.9	3.3	3.3
Numerator	52	51	54	46	46
Denominator	1,318,372	1,385,081	1,396,874	1,403,050	1,403,050

Data Source

Vital Records

Vital Records

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer
 than 5 and therefore a 3-year moving average cannot be
 applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Annual Objective and Performance Data

	2010	2011	2012	2013	2014
Annual Performance Objective	3.8	3.7	3.7	3.6	3.5
Annual Indicator					
Numerator					
Denominator					

Field Level Notes**1. Section Number:** Form11_Performance Measure #16

Field Name: PM16

Row Name:

Column Name:

Year: 2009

Field Note:

2008 data are being used as a proxy for 2009

2. Section Number: Form11_Performance Measure #16

Field Name: PM16

Row Name:

Column Name:

Year: 2007

Field Note:

PERFORMANCE MEASURE # 17

Percent of very low birth weight infants delivered at facilities for high-risk deliveries and neonates.

Annual Objective and Performance Data

	2005	2006	2007	2008	2009
Annual Performance Objective	90	91	92	92	94
Annual Indicator	87.1	88.6	89.7	90.0	90.0
Numerator	3,281	3,345	3,252	3,281	3,281
Denominator	3,765	3,774	3,627	3,646	3,646

Data Source

Vital Records

Vital Records

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer
 than 5 and therefore a 3-year moving average cannot be
 applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Annual Objective and Performance Data

	2010	2011	2012	2013	2014
Annual Performance Objective	94	95	95	95	95
Annual Indicator					
Numerator					
Denominator					

Field Level Notes**1. Section Number:** Form11_Performance Measure #17**Field Name:** PM17**Row Name:****Column Name:****Year:** 2009**Field Note:**

2008 data are being used as a proxy for 2009.

2. Section Number: Form11_Performance Measure #17**Field Name:** PM17**Row Name:****Column Name:****Year:** 2008**Field Note:**

2007 data are being used as a proxy for 2008.

3. Section Number: Form11_Performance Measure #17**Field Name:** PM17**Row Name:****Column Name:****Year:** 2007**Field Note:**

2006 data are being used as a proxy for 2007.

PERFORMANCE MEASURE # 18

Percent of infants born to pregnant women receiving prenatal care beginning in the first trimester.

Annual Objective and Performance Data

	2005	2006	2007	2008	2009
Annual Performance Objective	85	77	78	79	80
Annual Indicator	75.4	74.6	73.8	72.3	72.3
Numerator	174,737	174,078	174,949	165,813	165,813
Denominator	231,661	233,441	236,903	229,467	229,467

Data Source

Vital Records

Vital Records

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Annual Objective and Performance Data

	2010	2011	2012	2013	2014
Annual Performance Objective	81	82	82	82	82
Annual Indicator					
Numerator					
Denominator					

Field Level Notes**1. Section Number:** Form11_Performance Measure #18**Field Name:** PM18**Row Name:****Column Name:****Year:** 2009**Field Note:**

2008 data are being used as a proxy for 2009.

Denominator excludes births where trimester when prenatal care began is unknown

2. Section Number: Form11_Performance Measure #18**Field Name:** PM18**Row Name:****Column Name:****Year:** 2008**Field Note:**

Denominator excludes births where trimester when prenatal care began is unknown.

3. Section Number: Form11_Performance Measure #18**Field Name:** PM18**Row Name:****Column Name:****Year:** 2007**Field Note:**

Denominator excludes births where trimester when prenatal care began is unknown

FORM 11
TRACKING PERFORMANCE MEASURES
[SECS 485 (2)(2)(B)(iii) AND 486 (A)(2)(A)(iii)]
STATE: NY

Form Level Notes for Form 11

NPM 01 2007, 2008, and 2009 numerator and denominator data represent only screen positives, unlike previous years, where these numbers represent all newborns screened. For 2007-2009, the numerator is the number of cases closed & the denominator is the number of screen positive newborns for the year. The annual indicator is the number of closed cases divided by number of screen positive cases reported as a percent. 2008 data is being used as a proxy for 2009 data. 2009 data will be available by late 2010. NPM07 2008 data is being used as a proxy for 2009 data. It is estimated that final 2009 immunization data will be available from CDC late 2010 or early 2011. NPM08 2008 data has been updated and finalized since previous submission. 2008 data are used as a proxy for 2009 data, which are not yet available. It is estimated that 2009 data will be available by late 2010. NPM10 2008 data is being used as a proxy for 2009. The number of motor vehicle deaths is based on the definition used by the NYSDOH Bureau of Biometrics and Health Statistics and includes pedestrians and cyclists. The definition changed in 2004 as prior to that time, pedestrians and cyclists were not included. It is estimated that 2009 data will be available by late 2010. NPM11 Data source is the National Immunization Survey. 2008 data has been revised and finalized from previous submission. 2008 data is for 2006 birth cohort. 2008 data is being used as a proxy for 2009 data. It is estimated that final 2009 data will be available from CDC late 2010 or early 2011. NPM12 2008 data is being used as a proxy for 2009 data. It is estimated that 2009 data will be available by late 2010. NPM13 2008 data is being used as a proxy for 2009 data. It is estimated that 2009 data will be available by late 2010. NPM14 2008 data is being used as a proxy for 2009 data. It is estimated that 2009 data will be available by late 2010. NPM15 Data is from the NYS Prams Survey, excluding NYC as that data is not yet available. 2008 data has been finalized since previous submission. 2008 data is being used as a proxy for 2009. In comparing data from the NYC and Upstate PRAMS surveys for 2005-2007, women giving birth in Upstate NY in 2007 were more likely to smoke during the last 3 months of pregnancy as compared to women residing in NYC (13.7% and 4.7% respectively). NPM16 2008 data is being used as a proxy for 2009 data. It is estimated that 2009 data will be available by late 2010. NPM17 2008 data has been finalized. 2008 data is being used as a proxy for 2009. It is estimated that 2009 data will be available by late 2010. NPM18 2008 data has been finalized since previous submission. 2008 data is being used as a proxy for 2009 data. The denominator is the total number of births for which prenatal care initiation is known and excludes births where trimester of entry into prenatal care is unknown. 2009 data will be available by late 2010.

STATE PERFORMANCE MEASURE # 1 - REPORTING YEAR

Percent of Live Births Resulting from Unintended Pregnancies

<u>Annual Objective and Performance Data</u>					
	2005	2006	2007	2008	2009
Annual Performance Objective		32.8	32.7	31	31
Annual Indicator	35.8	33.4	37.5	29.6	29.6
Numerator					
Denominator					
Data Source				PRAMS	PRAMS
Is the Data Provisional or Final?				Final	Provisional

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective	30.5	30	29.5	29	
Annual Indicator	Future year objectives for state performance measures from needs assessment period 2006-2010 are view-only. If you are continuing any of these measures in the new needs assessment period, you may establish objectives for those measures on Form 11 for the new needs assessment period.				
Numerator					
Denominator					

Field Level Notes

- Section Number:** Form11_State Performance Measure #1
Field Name: SM1
Row Name:
Column Name:
Year: 2009
Field Note:
 2008 data are being used as a proxy for 2009. Numerator and denominator data are not available. Data are from the NYS PRAMS survey for areas in NYS outside of NYC.
- Section Number:** Form11_State Performance Measure #1
Field Name: SM1
Row Name:
Column Name:
Year: 2008
Field Note:
 Numerator and denominator data are not available. Data are from the NYS PRAMS survey for areas in NYS outside of NYC.
- Section Number:** Form11_State Performance Measure #1
Field Name: SM1
Row Name:
Column Name:
Year: 2007
Field Note:
 Numerator and denominator data are not available. Data are from the NYS PRAMS survey for areas in NYS outside of NYC.

STATE PERFORMANCE MEASURE # 2 - REPORTING YEAR

Hospitalization Rate for Asthma in Children 1 to Age 14

<u>Annual Objective and Performance Data</u>					
	2005	2006	2007	2008	2009
Annual Performance Objective		245	235	235	235
Annual Indicator	335.9	346.5	320.5	328.7	328.7
Numerator	11,729	11,968	10,738	11,024	11,024
Denominator	3,492,321	3,453,631	3,350,465	3,353,858	3,353,858
Data Source				SPARCS	SPARCS
Is the Data Provisional or Final?				Final	Provisional

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective	230	230	230	220	
Annual Indicator	Future year objectives for state performance measures from needs assessment period 2006-2010 are view-only. If you are continuing any of these measures in the new needs assessment period, you may establish objectives for those measures on Form 11 for the new needs assessment period.				
Numerator					
Denominator					

Field Level Notes**1. Section Number:** Form11_State Performance Measure #2**Field Name:** SM2**Row Name:****Column Name:****Year:** 2009**Field Note:**

2008 data are being used as a proxy for 2009

2. Section Number: Form11_State Performance Measure #2**Field Name:** SM2**Row Name:****Column Name:****Year:** 2008**Field Note:**

STATE PERFORMANCE MEASURE # 4 - REPORTING YEAR

Teenage Pregnancy Rate for Girls Ages 15-17

Annual Objective and Performance Data					
	2005	2006	2007	2008	2009
Annual Performance Objective		35	34	34	33
Annual Indicator	36.5	36.3	35.1	33.3	33.3
Numerator	14,256	14,444	14,011	13,087	13,087
Denominator	390,618	398,091	398,693	392,716	392,716
Data Source				Vital Records	Vital Records
Is the Data Provisional or Final?				Final	Final

Annual Objective and Performance Data					
	2010	2011	2012	2013	2014
Annual Performance Objective	33	32	32	31	
Annual Indicator	Future year objectives for state performance measures from needs assessment period 2006-2010 are view-only. If you are continuing any of these measures in the new needs assessment period, you may establish objectives for those measures on Form 11 for the new needs assessment period.				
Numerator					
Denominator					

Field Level Notes

1. **Section Number:** Form11_State Performance Measure #4

Field Name: SM4

Row Name:

Column Name:

Year: 2009

Field Note:

2008 data are being used as a proxy for 2009.

2. **Section Number:** Form11_State Performance Measure #4

Field Name: SM4

Row Name:

Column Name:

Year: 2008

Field Note:

2008 data are being used as a proxy for 2009.

3. **Section Number:** Form11_State Performance Measure #4

Field Name: SM4

Row Name:

Column Name:

Year: 2007

Field Note:

STATE PERFORMANCE MEASURE # 6 - REPORTING YEAR

Percent of infants who are put down on their backs to sleep.

<u>Annual Objective and Performance Data</u>					
	2005	2006	2007	2008	2009
Annual Performance Objective		82	84	84	85
Annual Indicator	67.2	71.9	70.5	75.1	75.1
Numerator					
Denominator					
Data Source				PRAMS	PRAMS
Is the Data Provisional or Final?				Final	Provisional

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective	85	85	86	86	
Annual Indicator	Future year objectives for state performance measures from needs assessment period 2006-2010 are view-only. If you are continuing any of these measures in the new needs assessment period, you may establish objectives for those measures on Form 11 for the new needs assessment period.				
Numerator					
Denominator					

Field Level Notes

- Section Number:** Form11_State Performance Measure #6
Field Name: SM6
Row Name:
Column Name:
Year: 2009
Field Note:
 2008 data are being used as a proxy for 2009. Data are from the NYS PRAMS Survey which includes women residing in NYS outside of NYC
- Section Number:** Form11_State Performance Measure #6
Field Name: SM6
Row Name:
Column Name:
Year: 2008
Field Note:
 Data are from the NYS PRAMS Survey which includes women residing in NYS outside of NYC
- Section Number:** Form11_State Performance Measure #6
Field Name: SM6
Row Name:
Column Name:
Year: 2007
Field Note:
 Data are from the NYS PRAMS Survey which includes women residing in NYS outside of NYC.

STATE PERFORMANCE MEASURE # 7 - REPORTING YEAR

Hospitalizations for Self-Inflicted Injuries for 15-19 Year Olds

	<u>Annual Objective and Performance Data</u>				
	2005	2006	2007	2008	2009
Annual Performance Objective		0.1	0.1	0.1	0.1
Annual Indicator	0.1	0.1	0.1	0.1	0.1
Numerator	1,291	1,324	1,280	1,368	1,368
Denominator	1,318,372	1,385,081	1,396,874	1,403,050	1,403,050
Data Source				SPARCS	SPARCS
Is the Data Provisional or Final?				Final	Provisional

	<u>Annual Objective and Performance Data</u>				
	2010	2011	2012	2013	2014
Annual Performance Objective	0.1	0.1	0.1	0.1	
Annual Indicator					
Numerator	Future year objectives for state performance measures from needs assessment period 2006-2010 are view-only. If you are continuing any of these measures in the new needs assessment period, you may establish objectives for those measures on Form 11 for the new needs assessment period.				
Denominator					

Field Level Notes**1. Section Number:** Form11_State Performance Measure #7**Field Name:** SM7**Row Name:****Column Name:****Year:** 2009**Field Note:**

2008 data are being used as a proxy for 2009

2. Section Number: Form11_State Performance Measure #7**Field Name:** SM7**Row Name:****Column Name:****Year:** 2008**Field Note:****3. Section Number:** Form11_State Performance Measure #7**Field Name:** SM7**Row Name:****Column Name:****Year:** 2007**Field Note:**

STATE PERFORMANCE MEASURE # 8 - REPORTING YEAR

Percent of High School Students who had five or more drinks of alcohol in a row at least once in the Last Month

Annual Objective and Performance Data					
	2005	2006	2007	2008	2009
Annual Performance Objective		19	18	18	18
Annual Indicator	23.9	23.9	24.9	24.9	23.8
Numerator					
Denominator					
Data Source				YRBS	YRBS
Is the Data Provisional or Final?				Final	Final

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective	<u>18</u>	<u>18</u>	<u>18</u>	<u>18</u>	<u></u>
Annual Indicator	Future year objectives for state performance measures from needs assessment period 2006-2010 are view-only. If you are continuing any of these measures in the new needs assessment period, you may establish objectives for those measures on Form 11 for the new needs assessment period.				
Numerator					
Denominator					

Field Level Notes**1. Section Number:** Form11_State Performance Measure #8**Field Name:** SM8**Row Name:****Column Name:****Year:** 2009**Field Note:**

2009 data are from the 2009 YRBS (biannual) survey. There are no numerator or denominator data available from this survey

2. Section Number: Form11_State Performance Measure #8**Field Name:** SM8**Row Name:****Column Name:****Year:** 2008**Field Note:**

2008 data are from the 2007 YRBS (biannual) survey. There are no numerator or denominator data available from this survey

3. Section Number: Form11_State Performance Measure #8**Field Name:** SM8**Row Name:****Column Name:****Year:** 2007**Field Note:**

Numerator and Denominator data are not available (2007 YRBS survey data)

STATE PERFORMANCE MEASURE # 9 - REPORTING YEAR

Percent of High School Students Who Smoked Cigarettes in the Last Month

Annual Objective and Performance Data					
	2005	2006	2007	2008	2009
Annual Performance Objective		5	5	5	5
Annual Indicator	16.2	16.2	13.8	13.8	14.9
Numerator					
Denominator					
Data Source				YRBS	YRBS
Is the Data Provisional or Final?				Final	Final

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective	<u>5</u>	<u>5</u>	<u>4</u>	<u>4</u>	<u> </u>
Annual Indicator	Future year objectives for state performance measures from needs assessment period 2006-2010 are view-only. If you are continuing any of these measures in the new needs assessment period, you may establish objectives for those measures on Form 11 for the new needs assessment period.				
Numerator					
Denominator					

Field Level Notes

- Section Number:** Form11_State Performance Measure #9
Field Name: SM9
Row Name:
Column Name:
Year: 2009
Field Note:
 2009 data are from the 2009 (biannual)Youth Risk Behavior Survey. Numerator and denominator data are not available (survey data).
- Section Number:** Form11_State Performance Measure #9
Field Name: SM9
Row Name:
Column Name:
Year: 2008
Field Note:
 2008 data are from the 2007 (biannual)Youth Risk Behavior Survey. Numerator and denominator data are not available (survey data).
- Section Number:** Form11_State Performance Measure #9
Field Name: SM9
Row Name:
Column Name:
Year: 2007
Field Note:
 Numerator and Denominator data not available (2007 YRBS survey data).

STATE PERFORMANCE MEASURE # 10 - REPORTING YEAR

Percent of children in the birth year cohort who were screened for high blood lead before the age of two.

Annual Objective and Performance Data					
	2005	2006	2007	2008	2009
Annual Performance Objective		87	87	80	81
Annual Indicator	63	69.5	72.5	74	74
Numerator					
Denominator					
Data Source				NYS Lead Tracking System	NYS Lead Tracking System
Is the Data Provisional or Final?				Final	Provisional

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective	<u>82</u>	<u>83</u>	<u>83</u>	<u>83</u>	<u></u>
Annual Indicator	Future year objectives for state performance measures from needs assessment period 2006-2010 are view-only. If you are continuing any of these measures in the new needs assessment period, you may establish objectives for those measures on Form 11 for the new needs assessment period.				
Numerator					
Denominator					

Field Level Notes**1. Section Number:** Form11_State Performance Measure #10**Field Name:** SM10**Row Name:****Column Name:****Year:** 2009**Field Note:**

Data are reported for the birth cohort two years prior to reflect children turning two years old in that year – i.e., data reported for 2008 are for the 2006 birth cohort. Indicators for years prior to 2008 have been updated with more complete data. 2008 data are preliminary pending publication of surveillance report. 2008 data are used as a proxy for 2009. Data are for New York State excluding New York City.

2. Section Number: Form11_State Performance Measure #10**Field Name:** SM10**Row Name:****Column Name:****Year:** 2008**Field Note:**

Data are reported for the birth cohort two years prior to reflect children turning two years old in that year – i.e., data reported for 2008 are for the 2006 birth cohort. Indicators for years prior to 2008 have been updated with more complete data. 2008 data are preliminary pending publication of surveillance report. Data are for New York State excluding New York City

3. Section Number: Form11_State Performance Measure #10**Field Name:** SM10**Row Name:****Column Name:****Year:** 2007**Field Note:**

Data are from the NYS Lead Tracking System, based on the 2004 birth cohort, with testing through 2007.

STATE PERFORMANCE MEASURE # 11 - REPORTING YEAR

Percent of High School Students who watched 3 or more hours of TV on an average school day.

<u>Annual Objective and Performance Data</u>					
	2005	2006	2007	2008	2009
Annual Performance Objective		40	38	34	33
Annual Indicator	41.9	41.9	35.3	35.3	32.7
Numerator					
Denominator					
Data Source				YRBS	YRBS
Is the Data Provisional or Final?				Final	Final

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective	32	31	30	30	
Annual Indicator	Future year objectives for state performance measures from needs assessment period 2006-2010 are view-only. If you are continuing any of these measures in the new needs assessment period, you may establish objectives for those measures on Form 11 for the new needs assessment period.				
Numerator					
Denominator					

Field Level Notes**1. Section Number:** Form11_State Performance Measure #11**Field Name:** SM11**Row Name:****Column Name:****Year:** 2009**Field Note:**

2009 data are from the 2009 biannual YRBS survey. Numerator and Denominator data are not available

2. Section Number: Form11_State Performance Measure #11**Field Name:** SM11**Row Name:****Column Name:****Year:** 2008**Field Note:**

2008 data are from the 2007 biannual YRBS survey. Numerator and Denominator data are not available .

3. Section Number: Form11_State Performance Measure #11**Field Name:** SM11**Row Name:****Column Name:****Year:** 2007**Field Note:**

Numerator and Denominator data are not available (2007 YRBS survey data)

STATE PERFORMANCE MEASURE # 12 - REPORTING YEAR

Percent of Women that felt down, depressed or hopeless always or often after their baby was born.

Annual Objective and Performance Data					
	2005	2006	2007	2008	2009
Annual Performance Objective		11.5	11.4	8	8
Annual Indicator	9.9	8.3	7	7.5	7.5
Numerator					
Denominator					
Data Source				PRAMS	PRAMS
Is the Data Provisional or Final?				Final	Provisional

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective	<u>7.5</u>	<u>7.5</u>	<u>7</u>	<u>7</u>	<u></u>
Annual Indicator	Future year objectives for state performance measures from needs assessment period 2006-2010 are view-only. If you are continuing any of these measures in the new needs assessment period, you may establish objectives for those measures on Form 11 for the new needs assessment period.				
Numerator					
Denominator					

Field Level Notes

1. **Section Number:** Form11_State Performance Measure #12

Field Name: SM12**Row Name:****Column Name:****Year:** 2009**Field Note:**

2008 data are being used as a proxy for 2009. Data are from the NYS PRAMS survey which includes women residing in NYS outside of NYC

2. **Section Number:** Form11_State Performance Measure #12

Field Name: SM12**Row Name:****Column Name:****Year:** 2008**Field Note:**

Data are from the NYS PRAMS survey which includes women residing in NYS outside of NYC

3. **Section Number:** Form11_State Performance Measure #12

Field Name: SM12**Row Name:****Column Name:****Year:** 2007**Field Note:**

Data are from the NYS PRAMS survey which includes women residing in NYS outside of NYC.

FORM 12
TRACKING HEALTH OUTCOME MEASURES
[SECS 505 (A)(2)(B)(iii) AND 506 (A)(2)(A)(iii)]
STATE: NY

Form Level Notes for Form 12

None

OUTCOME MEASURE # 01

The infant mortality rate per 1,000 live births.

Annual Objective and Performance Data

	2005	2006	2007	2008	2009
Annual Performance Objective	5.7	5.6	5.5	5.5	5.5
Annual Indicator	5.8	5.6	5.5	5.4	5.4
Numerator	1,414	1,391	1,382	1,359	1,359
Denominator	245,378	249,207	252,662	249,655	249,655
Data Source				Vital Records	Vital Records
Check this box if you cannot report the numerator because 1. There are fewer than 5 events over the last year, and 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied. <i>(Explain data in a year note. See Guidance, Appendix IX.)</i>					
Is the Data Provisional or Final?				Final	Provisional

Annual Objective and Performance Data

	2010	2011	2012	2013	2014
Annual Performance Objective	5.4	5.3	5.2	5.2	5.1
Annual Indicator					
Numerator					
Denominator					

Please fill in only the Objectives for the above years. Numerator, Denominator and Annual Indicators are not required for future year data.

Field Level Notes

1. Section Number: Form12_Outcome Measure 1

Field Name: OM01

Row Name:

Column Name:

Year: 2009

Field Note:

2008 vital records data are being used as a proxy for 2009 statewide data. Infant deaths for a given year are used as numerator data, and the births in that year are used as the denominator number. The resulting rate may be slightly different that a rate derived from matched birth-death files

2. Section Number: Form12_Outcome Measure 1

Field Name: OM01

Row Name:

Column Name:

Year: 2008

Field Note:

Infant deaths for a given year are used as numerator data, and the births in that year are used as the denominator number. The resulting rate may be slightly different that a rate derived from matched birth-death files.

3. Section Number: Form12_Outcome Measure 1

Field Name: OM01

Row Name:

Column Name:

Year: 2007

Field Note:

Infant deaths for a given year are used as numerator data, and the births in that year are used as the denominator number. The resulting rate may be slightly different that a rate derived from matched birth-death files.

OUTCOME MEASURE # 02

The ratio of the black infant mortality rate to the white infant mortality rate.

Annual Objective and Performance Data

	2005	2006	2007	2008	2009
Annual Performance Objective	1.4	1.4	1.3	1.3	1.3
Annual Indicator	1.9	1.9	1.8	2.5	2.5
Numerator	9.2	9	8.7	10.8	10.8
Denominator	4.9	4.7	4.8	4.4	4.4
Data Source				Vital Records	Vital Records

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Annual Objective and Performance Data

	2010	2011	2012	2013	2014
Annual Performance Objective	1.3	1.2	1.2	1	1
Annual Indicator					
Numerator					
Denominator					

Please fill in only the Objectives for the above years. Numerator, Denominator and Annual Indicators are not required for future year data.

Field Level Notes

1. **Section Number:** Form12_Outcome Measure 2

Field Name: OM02

Row Name:

Column Name:

Year: 2009

Field Note:

2008 vital records data are being used as a proxy for 2009 statewide data. Infant deaths for a given year are used as numerator data, and births for the same year as denominator data. The resulting rate may differ somewhat from a rate based on matched birth-death files

2. **Section Number:** Form12_Outcome Measure 2

Field Name: OM02

Row Name:

Column Name:

Year: 2008

Field Note:

Infant deaths for a given year are used as numerator data, and births for the same year as denominator data. The resulting rate may differ somewhat from a rate based on matched birth-death files

3. **Section Number:** Form12_Outcome Measure 2

Field Name: OM02

Row Name:

Column Name:

Year: 2007

Field Note:

Infant deaths for a given year are used as numerator data, and births for the same year as denominator data. The resulting rate may differ somewhat from a rate based on matched birth-death files.

OUTCOME MEASURE # 03

The neonatal mortality rate per 1,000 live births.

Annual Objective and Performance Data

	2005	2006	2007	2008	2009
Annual Performance Objective	3.9	3.9	3.8	3.8	3.7
Annual Indicator	4.0	3.8	3.6	3.7	3.7
Numerator	983	936	909	919	919
Denominator	245,378	249,207	252,662	249,655	249,655
Data Source				Vital Records	Vital Records

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Annual Objective and Performance Data

	2010	2011	2012	2013	2014
Annual Performance Objective	3.7	3.6	3.6	3.6	3.5
Annual Indicator					
Numerator					
Denominator					

Please fill in only the Objectives for the above years. Numerator, Denominator and Annual Indicators are not required for future year data.

Field Level Notes**1. Section Number:** Form12_Outcome Measure 3**Field Name:** OM03**Row Name:****Column Name:****Year:** 2009**Field Note:**

2008 data are being used as a proxy for 2009.

Vital statistics data are used to determine the rate: infant s who died within 28 days of birth in the target year constitute the numerator, and births for that same year are used as the denominator. The rate may vary somewhat from a rate derived from matched birth-death files

2. Section Number: Form12_Outcome Measure 3**Field Name:** OM03**Row Name:****Column Name:****Year:** 2008**Field Note:**

Vital statistics data are used to determine the rate: infant s who died within 28 days of birth in the target year constitute the numerator, and births for that same year are used as the denominator. The rate may vary somewhat from a rate derived from matched birth-death files.

3. Section Number: Form12_Outcome Measure 3**Field Name:** OM03**Row Name:****Column Name:****Year:** 2007**Field Note:**

Vital statistics data are used to determine the rate: infant s who died within 28 days of birth in the target year constitute the numerator, and births for that same year are used as the denominator. The rate may vary somewhat from a rate derived from matched birth-death files.

OUTCOME MEASURE # 04

The postneonatal mortality rate per 1,000 live births.

Annual Objective and Performance Data

	2005	2006	2007	2008	2009
Annual Performance Objective	1.1	1.1	1	1	1
Annual Indicator	1.8	1.8	1.9	1.8	1.8
Numerator	431	456	473	440	440
Denominator	245,378	249,207	252,662	249,655	249,655
Data Source				Vital Records	Vital Records

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Provisional

Provisional

Annual Objective and Performance Data

	2010	2011	2012	2013	2014
Annual Performance Objective	1	1	1	1	0.9
Annual Indicator					
Numerator					
Denominator					

Please fill in only the Objectives for the above years. Numerator, Denominator and Annual Indicators are not required for future year data.

Field Level Notes

1. **Section Number:** Form12_Outcome Measure 4

Field Name: OM04

Row Name:

Column Name:

Year: 2009

Field Note:

2008 statewide vital records data are being used as a proxy for 2009. Postneonatal mortality rates are determined using infant deaths from 28d-1y in a given year, divided by infant births from the same year. This rate may vary marginally from a rate calculated using matched birth-death certificates

2. **Section Number:** Form12_Outcome Measure 4

Field Name: OM04

Row Name:

Column Name:

Year: 2008

Field Note:

Postneonatal mortality rates are determined using infant deaths from 28d-1y in a given year, divided by infant births from the same year. This rate may vary marginally from a rate calculated using matched birth-death certificates.

3. **Section Number:** Form12_Outcome Measure 4

Field Name: OM04

Row Name:

Column Name:

Year: 2007

Field Note:

Postneonatal mortality rates are determined using infant deaths from 28d-1y in a given year, divided by infant births from the same year. This rate may vary marginally from a rate calculated using matched birth-death certificates.

OUTCOME MEASURE # 05

The perinatal mortality rate per 1,000 live births plus fetal deaths.

Annual Objective and Performance Data

	2005	2006	2007	2008	2009
Annual Performance Objective	11.5	5.9	5.7	5.5	5.3
Annual Indicator	7.3	5.6	5.3	5.7	5.7
Numerator	1,798	1,411	1,343	1,415	1,415
Denominator	246,397	249,862	253,297	250,350	250,350

Data Source

Vital Records

Vital Records

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Annual Objective and Performance Data

	2010	2011	2012	2013	2014
Annual Performance Objective	5.2	5.2	5.2	5	5

Annual Indicator**Numerator****Denominator**

Please fill in only the Objectives for the above years. Numerator, Denominator and Annual Indicators are not required for future year data.

Field Level Notes**1. Section Number:** Form12_Outcome Measure 5

Field Name: OM05

Row Name:

Column Name:

Year: 2009

Field Note:

2008 statewide vital statistics data are being used as a proxy for 2009 data, not yet available. The numerator is derived from the number of infant deaths in the perinatal period plus fetal deaths, as reported on death and fetal death certificates for the year. The denominator is all births plus fetal deaths for the same year. This gives a rate that may vary somewhat from a rate calculated using matched birth-death files plus fetal deaths

2. Section Number: Form12_Outcome Measure 5

Field Name: OM05

Row Name:

Column Name:

Year: 2008

Field Note:

The numerator is derived from the number of infant deaths in the perinatal period plus fetal deaths, as reported on death and fetal death certificates for the year. The denominator is all births plus fetal deaths for the same year. This gives a rate that may vary somewhat from a rate calculated using matched birth-death files plus fetal deaths.

3. Section Number: Form12_Outcome Measure 5

Field Name: OM05

Row Name:

Column Name:

Year: 2007

Field Note:

The numerator is derived from the number of infant deaths in the perinatal period plus fetal deaths, as reported on death and fetal death certificates for the year. The denominator is all births plus fetal deaths for the same year. This gives a rate that may vary somewhat from a rate calculated using matched birth-death files plus fetal deaths.

OUTCOME MEASURE # 06

The child death rate per 100,000 children aged 1 through 14.

Annual Objective and Performance Data

	2005	2006	2007	2008	2009
Annual Performance Objective	10	10	9.5	9.5	9.5
Annual Indicator	15.6	13.9	15.1	15.4	15.4
Numerator	545	480	506	517	517
Denominator	3,502,575	3,453,631	3,350,465	3,353,858	3,353,858

Data Source

Vital Records

Vital Records

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer
 than 5 and therefore a 3-year moving average cannot be
 applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Annual Objective and Performance Data

	2010	2011	2012	2013	2014
Annual Performance Objective	9.4	9.4	9.3	9.3	9.3

Annual Indicator

Numerator

Denominator

Please fill in only the Objectives for the above years. Numerator, Denominator and Annual Indicators are not required for future year data.

Field Level Notes

1. Section Number: Form12_Outcome Measure 6

Field Name: OM06

Row Name:

Column Name:

Year: 2009

Field Note:

2008 data are being used as a proxy for 2009.

FORM 12
TRACKING HEALTH OUTCOME MEASURES
[SECS 505 (A)(2)(B)(iii) AND 506 (A)(2)(A)(iii)]
STATE: NY

Form Level Notes for Form 12

None

STATE OUTCOME MEASURE # 1 - REPORTING YEAR

Maternal Mortality Rate per 100,000 Live Births

<u>Annual Objective and Performance Data</u>					
	2005	2006	2007	2008	2009
Annual Performance Objective		20	19.5	19	18.5
Annual Indicator	14.7	19.3	15.8	29.2	29.2
Numerator	36	48	40	73	73
Denominator	245,378	249,207	252,662	249,655	249,655
Data Source				Vital Records	Vital Records
Is the Data Provisional or Final?				Final	Provisional

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective	18	17.5	17	16	
Annual Indicator					
Numerator					
Denominator					

Please fill in only the Objectives for the above years. Numerator, Denominator and Annual Indicators are not required for future year data.

Field Level Notes

1. Section Number: Form12_State Outcome Measure 1

Field Name: SO1

Row Name:

Column Name:

Year: 2009

Field Note:

2008 statewide vital records data are being used as a proxy for 2009. The variability of this rate can be substantial on an annual basis, and depends on a number of factors, primary among which is the intensity with which case ascertainment is pursued. The Safe Motherhood/ Maternal Mortality initiative being implemented in NYS by the American College of Obstetricians and Gynecologists, in collaboration with DOH, has improvement in case ascertainment as one of its major foci. We should therefore expect the rate to increase somewhat in response to this effort, while the impact of educational initiatives designed to reduce maternal mortality is expected to lag behind ascertainment in terms of impact on the rate

2. Section Number: Form12_State Outcome Measure 1

Field Name: SO1

Row Name:

Column Name:

Year: 2008

Field Note:

The variability of this rate can be substantial on an annual basis, and depends on a number of factors, primary among which is the intensity with which case ascertainment is pursued. The Safe Motherhood/ Maternal Mortality initiative being implemented in NYS by the American College of Obstetricians and Gynecologists, in collaboration with DOH, has improvement in case ascertainment as one of its major foci. We should therefore expect the rate to increase somewhat in response to this effort, while the impact of educational initiatives designed to reduce maternal mortality is expected to lag behind ascertainment in terms of impact on the rate

3. Section Number: Form12_State Outcome Measure 1

Field Name: SO1

Row Name:

Column Name:

Year: 2007

Field Note:

The variability of this rate can be substantial on an annual basis, and depends on a number of factors, primary among which is the intensity with which case ascertainment is pursued. The Safe Motherhood/ Maternal Mortality initiative being implemented in NYS by the American College of Obstetricians and Gynecologists, in collaboration with DOH, has improvement in case ascertainment as one of its major foci. We should therefore expect the rate to increase somewhat in response to this effort, while the impact of educational initiatives designed to reduce maternal mortality is expected to lag behind ascertainment in terms of impact on the rate.

FORM 13
CHARACTERISTICS DOCUMENTING FAMILY PARTICIPATION IN CSHCN PROGRAMS
STATE: NY

1. Family members participate on advisory committee or task forces and are offering training, mentoring, and reimbursement, when appropriate.

2

2. Financial support (financial grants, technical assistance, travel, and child care) is offered for parent activities or parent groups.

2

3. Family members are involved in the Children with Special Health Care Needs elements of the MCH Block Grant Application process.

2

4. Family members are involved in service training of CSHCN staff and providers.

3

5. Family members hired as paid staff or consultants to the State CSHCN program (a family member is hired for his or her expertise as a family member).

2

6. Family members of diverse cultures are involved in all of the above activities.

3

Total Score: 14

Rating Key

0 = Not Met

1 = Partially Met

2 = Mostly Met

3 = Completely Met

FORM NOTES FOR FORM 13

None

FIELD LEVEL NOTES

None

FORM 14
LIST OF MCH PRIORITY NEEDS

[Sec. 505(a)(5)]

STATE: NY FY: 2011

Your State's 5-year Needs Assessment should identify the need for preventive and primary care services for pregnant women, mothers, and infants; preventive and primary care services for children and services for Children with Special Health Care Needs. With each year's Block Grant application, provide a list (whether or not the priority needs change) of the top maternal and child health needs in your state. Using simple sentence or phrase, list below your State's needs. Examples of such statements are: "To reduce the barriers to the delivery of care for pregnant women, " and "The infant mortality rate for minorities should be reduced."

MCHB will capture annually every State's top 7 to 10 priority needs in an information system for comparison, tracking, and reporting purposes; you must list at least 7 and no more than 10. Note that the numbers listed below are for computer tracking only and are not meant to indicate priority order. If your State wishes to report more than 10 priority needs, list additional priority needs in a note at the form level.

1. To improve access to early, adequate and high quality prenatal care, with a specific focus on eliminating health disparities.
2. To improve access to comprehensive, high quality primary and preventive health care for children and adolescents, consistent with the medical home model, including children with special health care needs.
3. To eliminate disparities in health outcomes, especially with regard to low birth weight and infant mortality.
4. To prevent and reduce the incidence of overweight and obesity for infants, children and adolescents, with a focus upon reducing health disparities.
5. To reduce unintended pregnancies in adults and adolescents and improve adolescent sexual health and development, with a focus upon reducing health disparities.
6. To reduce or eliminate tobacco, alcohol and substance abuse among children and pregnant women.
7. To improve oral health, particularly for pregnant women, mothers and children, and among those with low income.
8. To eliminate childhood lead poisoning.
9. To improve diagnosis and treatment of asthma in the maternal and child health population.
10. To increase the percentage of infants who are breastfed for at least six months.

FORM NOTES FOR FORM 14

None

FIELD LEVEL NOTES

None

FORM 15
TECHNICAL ASSISTANCE(TA) REQUEST

STATE: NY

APPLICATION YEAR: 2011

No.	Category of Technical Assistance Requested	Description of Technical Assistance Requested (max 250 characters)	Reason(s) Why Assistance Is Needed (max 250 characters)	What State, Organization or Individual Would You suggest Provide the TA (if known) (max 250 characters)
1.	General Systems Capacity Issues If you selected State or National Performance Measure Issue categories above, identify the performance measure to which this issue pertains by entering the measure number here: <u> N/A </u>	Recommend large state technical assistance conference calls to discuss issues of mutual concern	Larger states have unique challenges, including distribution of resources to high and low burden areas	HRSA can facilitate calls
2.	Other If you selected State or National Performance Measure Issue categories above, identify the performance measure to which this issue pertains by entering the measure number here: <u> N/A </u>	Assistance in perinatal health data analysis and strategic planning	NYS is involved in a multi-phased effort to improve perinatal health. Assistance with research/data analysis and strategic planning with review of other states would support this effort	HRSA recommendation
3.	If you selected State or National Performance Measure Issue categories above, identify the performance measure to which this issue pertains by entering the measure number here: <u> </u>			
4.	If you selected State or National Performance Measure Issue categories above, identify the performance measure to which this issue pertains by entering the measure number here: <u> </u>			
5.	If you selected State or National Performance Measure Issue categories above, identify the performance measure to which this issue pertains by entering the measure number here: <u> </u>			
6.	If you selected State or National Performance Measure Issue categories above, identify the performance measure to which this issue pertains by entering the measure number here: <u> </u>			
7.	If you selected State or National Performance Measure Issue categories above, identify the performance measure to which this issue pertains by entering the measure number here: <u> </u>			
8.	If you selected State or National Performance Measure Issue categories above, identify the performance measure to which this issue pertains by entering the measure number here: <u> </u>			
9.	If you selected State or National Performance Measure Issue categories above, identify the performance measure to which this issue pertains by entering the measure number here: <u> </u>			
10.	If you selected State or National Performance Measure Issue categories above, identify the performance measure to which this issue pertains by entering the			

	measure number here: _____			
11.	If you selected State or National Performance Measure Issue categories above, identify the performance measure to which this issue pertains by entering the measure number here: _____			
12.	If you selected State or National Performance Measure Issue categories above, identify the performance measure to which this issue pertains by entering the measure number here: _____			

FORM NOTES FOR FORM 15

None

FIELD LEVEL NOTES

None

FORM 16
STATE PERFORMANCE AND OUTCOME MEASURE DETAIL SHEET
STATE: NY

SP(Reporting Year) # 1

PERFORMANCE MEASURE:

Percent of Live Births Resulting from Unintended Pregnancies

STATUS:

Active

GOAL

To decrease the number of unintended pregnancies

DEFINITION

Births to women that were unintended.

Numerator:

Number of women surveyed that reported they wanted to be pregnant later or not at all.

Denominator:

Number of women responding to the survey times 100

Units: 100 **Text:** Percent

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

The NYS PRAMS Survey is the source for these data. One limitation is that the survey is only available for NYS excluding NYC.

SIGNIFICANCE

Unintended pregnancy is a problem among women in all age groups. In 1994 48% of American females aged 15-44 years had at least one unintended pregnancy in their lifetime and nearly 1/3 had one or more abortions.

SP(Reporting Year) # 2

PERFORMANCE MEASURE:

Hospitalization Rate for Asthma in Children 1 to Age 14

STATUS:

Active

GOAL

To reduce asthma morbidity among children.

DEFINITION

Rate of asthma hospitalizations per 100,000 children ages 1 to 14.

Numerator:

Number of hospitalizations for asthma among children age 1 to 14.

Denominator:

Number of children ages 1 to 14 times 100,000.

Units: 100000 **Text:** Rate

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

The NYS SPARCS Data System is the source for the hospitalization data. The NYSDOH Bureau of Biometrics provides population estimates. .

SIGNIFICANCE

Increased asthma prevalence among children and the associated morbidity due to exacerbations and persistent symptoms present a huge burden to affected individuals and their families. In the US, over 10 million school days are lost annually by children with asthma. Consequently lost productivity of their parents was almost \$1M. Patients with inadequately controlled severe asthma have high expenditures in health care costs, especially in terms of hospitalizations. The social and economic burdens of asthma can be alleviated through appropriate asthma preventin and management strategies.

SP(Reporting Year) # 4

PERFORMANCE MEASURE:

Teenage Pregnancy Rate for Girls Ages 15-17

STATUS:

Active

GOAL

To lower the pregnancy rate among teenagers.

DEFINITION

Numerator:

Number of pregnancies (including abortions, spontaneous fetal deaths, and births) to females aged 15-17 years old.

Denominator:

Number of females aged 15-17 years of age times 1000.

Units: 1000 **Text:** Rate

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

Vital Records are the source for data on mothers' age and pregnancies. Population numbers are estimated by the Bureau of Biometrics, NYS Health Department.

SIGNIFICANCE

Adolescent sexual activity can have life-changing or life-threatening consequences; unintended pregnancy and infection with sexually transmitted diseases or HIV. Teen parenting is associated with non-completion of high school and the initiation of a cycle of poverty. Adolescent pregnancy reduces employment opportunities leading to increased poverty, and is associated with poorer health outcomes, less likelihood to marry, and increased dependence on public assistance.

PERFORMANCE MEASURE:

Percent of infants who are put down on their backs to sleep.

STATUS:

Active

GOAL

To increase the number of infants that are placed on their backs to sleep.

DEFINITION

To increase the number of infants that are placed on their backs to sleep.

Numerator:

Number of mothers that reported they placed their babies on there back to sleep.

Denominator:

Number of moms responding to the survey times 100.

Units: 100 **Text:** 1

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

The PRAMS survey is the source for these data. One limitation is that the survey is only available for NYS excluding NYC.

SIGNIFICANCE

Much research has shown that infants who are placed on their backs for sleeping are at reduced risk for Sudden Infant Death Syndrome (SIDS).

SP(Reporting Year) # 7

PERFORMANCE MEASURE:

Hospitalizations for Self-Inflicted Injuries for 15-19 Year Olds

STATUS:

Active

GOAL

To reduce self-inflicted, preventable morbidity and mortality.

DEFINITION

hospitalizations

Numerator:

Number of hospitalizations attributed to self-inflicted injures among youth 15-19 years of age.

Denominator:

Number of youth 15-19 years of age times 100,000.

Units: 100 **Text:** Percent

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

The New York State SPARCS Data System is the source for the hospitalization data. The Bureau of Biometrics, NYSDOH, provides population estimates.

SIGNIFICANCE

Enhancing the mental health status of communities is, by itself, an important goal. Its significance is magnified by the fact that mental and physical health are often inexorably entwined. Personal characteristics or experiences such as low self-esteem, concerns about social acceptance, the absence of strong family structure and support, early exposure to violence and abuse, compulsive behavior, and fatalism are often associated with a wide range of risk behaviors and adverse health outcomes. Self-inflicted injury is one of the extreme manifestations of poor emotional health. Among adolescents and young adults, self-inflicted injuries are five times more likely to occur as compared to their older counterparts. A 1993 study of high school students in the state outside of NYC revealed that approximately 10% of those surveyed actually attempted to kill themselves. 25% of them needed medical attention as a result of their attempt.

PERFORMANCE MEASURE:

Percent of High School Students who had five or more drinks of alcohol in a row at least once in the Last Month

STATUS:

Active

GOAL

To reduce alcohol use among adolescents.

DEFINITION

Students who had five or more drinks of alcohol in a row, that is, within a couple of hours, on one or more of the past 30 days.

Numerator:

The number of high school students that reported they drank five or more drinks of alcohol in a row at least once in the last month.

Denominator:

The number of high school students in the survey times 100.

Units: 100 **Text:** Percent

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

The YRBS is the source for these data.

SIGNIFICANCE

Alcohol is the most commonly used drug in NYS with approximately one million adult and 100,000 youth drinkers in the state. Alcohol use is also associated with high rates of injury and contributes to lack of inhibition and irresponsible sexual activity, which in turn may contribute to higher rates of unintended pregnancy, sexually transmitted diseases and HIV transmission.

SP(Reporting Year) # 9

PERFORMANCE MEASURE:

Percent of High School Students Who Smoked Cigarettes in the Last Month

STATUS:

Active

GOAL

To reduce smoking among adolescents.

DEFINITION

The rate of current smoking among high school students.

Numerator:

The number of high school students that reported smoking at least one cigarette during the last month.

Denominator:

The number of students in the survey times 100.

Units: 100 **Text:** Percent

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

The New York State Youth Tobacco Survey is the source for these data.

SIGNIFICANCE

Tobacco is an addictive substance. Tobacco causes more disease and death in NYS than any other pathogen. In 1993, 31,600 New Yorkers died of tobacco-associated conditions, accounting for 19% of all deaths. The direct medical costs related to smoking in NYS is believed to be over \$3 billion annually. Tobacco causes 30% of all cancer deaths, 82% of all deaths due to pulmonary disease, and 21% of deaths due to chronic cardiac disease. More than 1,500 fire deaths and 4,600 injuries in the US are attributable to cigarettes. In NYS in 1992 alone, cigarettes caused 33% of fatal fires, taking 733 lives. NYS surveys indicate teen smoking, after falling steadily for a number of years, is on the rise in NYS. Most (89%) adult smokers initiated their habit while young, under the age of 18. 71% of adult smokers reported that they began smoking daily before age 18.

SP(Reporting Year) # 10

PERFORMANCE MEASURE:

Percent of children in the birth year cohort who were screened for high blood lead before the age of two.

STATUS:

Active

GOAL

To identify all children that have been exposed to high levels of lead.

DEFINITION

Numerator:

Number of children in the birth year cohort who have been screened at least once for high blood lead levels before the age of two.

Denominator:

Number of children times 100.

Units: 100 **Text:** Per 100 children in th birth cohort.

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

NYS Heavymetals and Childhood Lead Registry, the data base for the NYS Childhood Lead Poisoning Prevention Program, is the source for these data. The NYSDOH Bureau of Biometrics provides population estimates.

SIGNIFICANCE

NYS is committed to screening for lead in children one and two years of age in order to identify all children with high lead levels. High lead levels are associated with learning disabilities and severe physical consequences, including death.

SP(Reporting Year) # <u>11</u>	
PERFORMANCE MEASURE:	Percent of High School Students who watched 3 or more hours of TV on an average school day.
STATUS:	Active
GOAL	To decrease the amount of time high school students watch TV.
DEFINITION	<p>Number of high school students who indicate they watch 3 or more hours of TV.</p> <p>Numerator: Number of high school students who indicate they watch 3 or more hours of TV.</p> <p>Denominator: Number of high school students</p> <p>Units: 100 Text: Percent</p>
HEALTHY PEOPLE 2010 OBJECTIVE	22-11 Increase the proportion of children and adolescents who view television 2 or fewer hours per d
DATA SOURCES AND DATA ISSUES	Youth Risk Behavior Survey.
SIGNIFICANCE	Children who watch more than 2 hours per day of television are at an increase risk for obesity in both childhood and into adulthood.

PERFORMANCE MEASURE:	Percent of Women that felt down, depressed or hopeless always or often after their baby was born.
STATUS:	Active
GOAL	To reduce symptoms of depression in postpartum women.
DEFINITION	<p>x</p> <p>Numerator: Number of women participating in the PRAMS survey that always or often felt down, depressed or hopeless after their baby was born.</p> <p>Denominator: Women responding to the PRAMs survey.</p> <p>Units: 100 Text: Percent</p>
HEALTHY PEOPLE 2010 OBJECTIVE	
DATA SOURCES AND DATA ISSUES	Pregnancy Risk Assessment Monitoring System
SIGNIFICANCE	Postpartum women are at an increased risk for depression but their symptoms can be controlled through treatment.

SO(Reporting Year) # 1

OUTCOME MEASURE:	Maternal Mortality Rate per 100,000 Live Births
STATUS:	Active
GOAL	To reduce the number of maternal deaths
DEFINITION	Deaths from causes related to pregnancy Numerator: Number of deaths occurring to women from causes related to pregnancy (ICD 9: 630 through 676) Denominator: Number of Live Births Units: 100000 Text: Rate
HEALTHY PEOPLE 2010 OBJECTIVE	Reduce the maternal mortality rate to no more than 3.3 per 100,000 live births
DATA SOURCES AND DATA ISSUES	Source: Vital Records Issues: Maternal death as cause of death are under reported. More aggressive case ascertainment results in what appear to be higher rates.
SIGNIFICANCE	Due to general improvement in social and economic conditions and medical practices, maternal deaths have become more rare and are thought to be mostly preventable.

FORM 17
HEALTH SYSTEMS CAPACITY INDICATORS
FORMS FOR HSCI 01 THROUGH 04, 07 & 08 - MULTI-YEAR DATA
STATE: NY

Form Level Notes for Form 17

07B - 2008 data was updated and finalized. Due to reporting delays, 2009 data is not available and is projected based upon 2008 data. 2009 data to be finalized in late 2010. 08 - This measure is not applicable to New York State as all SSI beneficiaries in New York State are categorically eligible for Medicaid which covers rehabilitative services.

HEALTH SYSTEMS CAPACITY MEASURE # 01

The rate of children hospitalized for asthma (ICD-9 Codes: 493.0 -493.9) per 10,000 children less than five years of age.

	<u>Annual Indicator Data</u>				
	2005	2006	2007	2008	2009
Annual Indicator	57.9	62.0	46.5	58.1	58.1
Numerator	7,236	7,567	5,569	7,022	7,022
Denominator	1,249,101	1,220,468	1,196,688	1,208,495	1,208,495

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Field Level Notes

1. **Section Number:** Form17_Health Systems Capacity Indicator #01

Field Name: HSC01

Row Name:

Column Name:

Year: 2009

Field Note:

2008 data are being used as a proxy for 2009.

2. **Section Number:** Form17_Health Systems Capacity Indicator #01

Field Name: HSC01

Row Name:

Column Name:

Year: 2008

Field Note:

2008 data updated and finalized

HEALTH SYSTEMS CAPACITY MEASURE # 02

The percent Medicaid enrollees whose age is less than one year during the reporting year who received at least one initial periodic screen.

	Annual Indicator Data				
	2005	2006	2007	2008	2009
Annual Indicator	<u>76.9</u>	<u>72.7</u>	<u>72.7</u>	<u>77.6</u>	<u>76.3</u>
Numerator	<u>111,874</u>	<u>108,995</u>	<u>108,995</u>	<u>117,580</u>	<u>116,490</u>
Denominator	<u>145,432</u>	<u>149,958</u>	<u>149,958</u>	<u>151,439</u>	<u>152,710</u>

Check this box if you cannot report the numerator because
1. There are fewer than 5 events over the last year, and
2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Final

Field Level Notes

1. **Section Number:** Form17_Health Systems Capacity Indicator #02

Field Name: HSC02

Row Name:

Column Name:

Year: 2009

Field Note:

Data are for children enrolled in both Medicaid Fee-for-service and Medicaid Managed Care. Data for 2008 has been finalized.

2. **Section Number:** Form17_Health Systems Capacity Indicator #02

Field Name: HSC02

Row Name:

Column Name:

Year: 2007

Field Note:

.

HEALTH SYSTEMS CAPACITY MEASURE # 03

The percent State Childrens Health Insurance Program (SCHIP) enrollees whose age is less than one year during the reporting year who received at least one periodic screen.

	Annual Indicator Data				
	2005	2006	2007	2008	2009
Annual Indicator	84	84	99	99	99
Numerator					
Denominator					
Check this box if you cannot report the numerator because					
1. There are fewer than 5 events over the last year, and					
2. The average number of events over the last 3 years is fewer					
than 5 and therefore a 3-year moving average cannot be					
applied.					
<i>(Explain data in a year note. See Guidance, Appendix IX.)</i>					
Is the Data Provisional or Final?				Final	Final

Field Level Notes

1. **Section Number:** Form17_Health Systems Capacity Indicator #03

Field Name: HSC03

Row Name:

Column Name:

Year: 2009

Field Note:

2007 data are being used as a proxy for 2009. Data are for the percent of children aged 15 months who recieved 1 well child or preventive visit is used. Since 1999 measures have been calculated using a data source in which the percentage is weighted by plan enrollment. Since the rate is a weighted rate the numerator and denominator are not available.

2. **Section Number:** Form17_Health Systems Capacity Indicator #03

Field Name: HSC03

Row Name:

Column Name:

Year: 2008

Field Note:

2007 data are being used as a proxy for 2008. Data are for the percent of children aged 15 months who recieved 1 well child visit.

3. **Section Number:** Form17_Health Systems Capacity Indicator #03

Field Name: HSC03

Row Name:

Column Name:

Year: 2007

Field Note:

Data are for the percent of children aged 15 months who recieved 1+ well child visits

HEALTH SYSTEMS CAPACITY MEASURE # 04

The percent of women (15 through 44) with a live birth during the reporting year whose observed to expected prenatal visits are greater than or equal to 80 percent on the Kotelchuck Index.

	Annual Indicator Data				
	2005	2006	2007	2008	2009
Annual Indicator	<u>66.5</u>	<u>65.9</u>	<u>63.5</u>	<u>65.5</u>	<u>65.5</u>
Numerator	<u>130,854</u>	<u>131,416</u>	<u>126,795</u>	<u>124,528</u>	<u>124,528</u>
Denominator	<u>196,825</u>	<u>199,342</u>	<u>199,659</u>	<u>190,222</u>	<u>190,222</u>

Check this box if you cannot report the numerator because

1. There are fewer than 5 events over the last year, and
2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Field Level Notes

1. **Section Number:** Form17_Health Systems Capacity Indicator #04

Field Name: HSC04

Row Name:

Column Name:

Year: 2009

Field Note:

2008 data are being used as a proxy for 2009.

HEALTH SYSTEMS CAPACITY MEASURE # 07A

Percent of potentially Medicaid-eligible children who have received a service paid by the Medicaid Program.

	Annual Indicator Data				
	2005	2006	2007	2008	2009
Annual Indicator	<u>94.6</u>	<u>94.4</u>	<u>94.4</u>	<u>90.0</u>	<u>90.7</u>
Numerator	<u>1,966,625</u>	<u>1,909,170</u>	<u>1,909,170</u>	<u>1,805,488</u>	<u>1,876,851</u>
Denominator	<u>2,079,460</u>	<u>2,021,928</u>	<u>2,021,928</u>	<u>2,006,098</u>	<u>2,068,245</u>

Check this box if you cannot report the numerator because
1. There are fewer than 5 events over the last year, and
2. The average number of events over the last 3 years is fewer
than 5 and therefore a 3-year moving average cannot be
applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Final

Field Level Notes

1. **Section Number:** Form17_Health Systems Capacity Indicator #07A

Field Name: HSC07A

Row Name:

Column Name:

Year: 2007

Field Note:

HEALTH SYSTEMS CAPACITY MEASURE # 07B

The percent of EPSDT eligible children aged 6 through 9 years who have received any dental services during the year.

	Annual Indicator Data				
	2005	2006	2007	2008	2009
Annual Indicator	<u>38.9</u>	<u>44.3</u>	<u>46.4</u>	<u>46.4</u>	<u>46.9</u>
Numerator	<u>144,365</u>	<u>159,486</u>	<u>166,217</u>	<u>166,217</u>	<u>174,324</u>
Denominator	<u>370,657</u>	<u>360,268</u>	<u>358,116</u>	<u>358,116</u>	<u>371,495</u>

Check this box if you cannot report the numerator because
1. There are fewer than 5 events over the last year, and
2. The average number of events over the last 3 years is fewer
than 5 and therefore a 3-year moving average cannot be
applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Final

Field Level Notes

1. **Section Number:** Form17_Health Systems Capacity Indicator #07B

Field Name: HSC07B

Row Name:

Column Name:

Year: 2007

Field Note:

HEALTH SYSTEMS CAPACITY MEASURE # 08

The percent of State SSI beneficiaries less than 16 years old receiving rehabilitative services from the State Children with Special Health Care Needs (CSHCN) Program.

	Annual Indicator Data				
	2005	2006	2007	2008	2009
Annual Indicator	100.0	100.0	0	0	0
Numerator	1	1			
Denominator	1	1			

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Final

Field Level Notes

- Section Number:** Form17_Health Systems Capacity Indicator #08

Field Name: HSC08

Row Name:

Column Name:

Year: 2009

Field Note:

All SSI beneficiaries receive Medicaid which is a more generous package than that available under the Physically Handicapped Children's Program. In 2008, 2 percent of children enrolled in the CSHCN Program had SSI.

- Section Number:** Form17_Health Systems Capacity Indicator #08

Field Name: HSC08

Row Name:

Column Name:

Year: 2008

Field Note:

All SSI beneficiaries receive Medicaid which is a more generous package than that available under the Physically Handicapped Children's Program. In 2008, 2 percent of children enrolled in the CSHCN Program had SSI.

- Section Number:** Form17_Health Systems Capacity Indicator #08

Field Name: HSC08

Row Name:

Column Name:

Year: 2007

Field Note:

All SSI beneficiaries receive Medicaid which is a more generous package than that available under the Physically Handicapped Children's Program.

FORM 18
HEALTH SYSTEMS CAPACITY INDICATOR #05
(MEDICAID AND NON-MEDICAID COMPARISON)
STATE: NY

INDICATOR #05 <i>Comparison of health system capacity indicators for Medicaid, non-Medicaid, and all MCH populations in the State</i>	YEAR	DATA SOURCE	POPULATION		
			MEDICAID	NON-MEDICAID	ALL
a) <i>Percent of low birth weight (< 2,500 grams)</i>	2008	Payment source from birth certificate	<u>8.6</u>	<u>7.8</u>	<u>8.2</u>
b) <i>Infant deaths per 1,000 live births</i>	2008	Payment source from birth certificate	<u>7.4</u>	<u>5.1</u>	<u>5.8</u>
c) <i>Percent of infants born to pregnant women receiving prenatal care beginning in the first trimester</i>	2008	Payment source from birth certificate	<u>60.4</u>	<u>81.9</u>	<u>72.3</u>
d) <i>Percent of pregnant women with adequate prenatal care (observed to expected prenatal visits is greater than or equal to 80% [Kotelchuck Index])</i>	2008	Payment source from birth certificate	<u>54.7</u>	<u>73.4</u>	<u>65.5</u>

FORM 18
HEALTH SYSTEMS CAPACITY INDICATOR #06(MEDICAID ELIGIBILITY LEVEL)
STATE: NY

INDICATOR #06 <i>The percent of poverty level for eligibility in the State's Medicaid programs for infants (0 to 1), children, Medicaid and pregnant women.</i>	YEAR	PERCENT OF POVERTY LEVEL MEDICAID (Valid range: 100-300 percent)
a) <i>Infants (0 to 1)</i>	2009	<div style="text-align: right;">200</div>
b) <i>Medicaid Children</i> (Age range <div style="display: inline-block; width: 40px; border-bottom: 1px solid black; text-align: center;">1</div> to <div style="display: inline-block; width: 40px; border-bottom: 1px solid black; text-align: center;">5</div>) (Age range <div style="display: inline-block; width: 40px; border-bottom: 1px solid black; text-align: center;">6</div> to <div style="display: inline-block; width: 40px; border-bottom: 1px solid black; text-align: center;">19</div>) (Age range <div style="display: inline-block; width: 40px; border-bottom: 1px solid black; text-align: center;"></div> to <div style="display: inline-block; width: 40px; border-bottom: 1px solid black; text-align: center;"></div>)	2009	<div style="text-align: right;">133</div> <div style="text-align: right;">100</div>
c) <i>Pregnant Women</i>	2009	<div style="text-align: right;">200</div>

FORM 18
HEALTH SYSTEMS CAPACITY INDICATOR #06(SCHIP ELIGIBILITY LEVEL)
STATE: NY

INDICATOR #06 <i>The percent of poverty level for eligibility in the State's SCHIP programs for infants (0 to 1), children, SCHIP and pregnant women.</i>	YEAR	PERCENT OF POVERTY LEVEL SCHIP
a) <i>Infants (0 to 1)</i>	2009	<div style="text-align: right;">200</div>
b) <i>Medicaid Children</i> (Age range <div style="display: inline-block; width: 40px; border-bottom: 1px solid black; text-align: center;">1</div> to <div style="display: inline-block; width: 40px; border-bottom: 1px solid black; text-align: center;">19</div>) (Age range <div style="display: inline-block; width: 40px; border-bottom: 1px solid black; text-align: center;"></div> to <div style="display: inline-block; width: 40px; border-bottom: 1px solid black; text-align: center;"></div>) (Age range <div style="display: inline-block; width: 40px; border-bottom: 1px solid black; text-align: center;"></div> to <div style="display: inline-block; width: 40px; border-bottom: 1px solid black; text-align: center;"></div>)	2009	<div style="text-align: right;">400</div> <div style="text-align: right;"><div style="border-bottom: 1px solid black; width: 40px;"></div></div> <div style="text-align: right;"><div style="border-bottom: 1px solid black; width: 40px;"></div></div>
c) <i>Pregnant Women</i>	2009	<div style="text-align: right;">200</div>

FORM NOTES FOR FORM 18

Notes 2011 - 05A

2008 data has been finalized since last submission. 2009 data to be finalized in late 2010.

Notes 2011 - 05B

Includes upstate data only, New York City data unavailable.

Notes 2011 - 05C

Denominator excludes births with unknown date when prenatal care began.

Notes 2011 - 06C

Pregnant women are eligible for Medicaid up to 200% of poverty. Families with incomes above 400% FPL can enroll in SCHIP by paying a premium, which is based on income.

FIELD LEVEL NOTES

1. **Section Number:** Form18_Indicator 05

Field Name: InfantDeath

Row Name: Infant deaths per 1,000 live births

Column Name:

Year: 2011

Field Note:

Medicaid and non-Medicaid infant death rates are based on infant deaths among residents of NYS excluding NYC.

FORM 19
HEALTH SYSTEMS CAPACITY INDICATOR - REPORTING AND TRACKING FORM
STATE: NY

HEALTH SYSTEMS CAPACITY INDICATOR #09A (General MCH Data Capacity)
(The Ability of the State to Assure MCH Program Access to Policy and Program Relevant Information)

DATABASES OR SURVEYS	Does your MCH program have the ability to obtain data for program planning or policy purposes in a timely manner? (Select 1 - 3) *	Does your MCH program have Direct access to the electronic database for analysis? (Select Y/N)
ANNUAL DATA LINKAGES		
Annual linkage of infant birth and infant death certificates	3	Yes
Annual linkage of birth certificates and Medicaid Eligibility or Paid Claims Files	3	Yes
Annual linkage of birth certificates and WIC eligibility files	3	No
Annual linkage of birth certificates and newborn screening files	3	No
REGISTRIES AND SURVEYS		
Hospital discharge survey for at least 90% of in-State discharges	3	Yes
Annual birth defects surveillance system	3	Yes
Survey of recent mothers at least every two years (like PRAMS)	3	Yes

*Where:

1 = No, the MCH agency does not have this ability.

2 = Yes, the MCH agency sometimes has this ability, but not on a consistent basis.

3 = Yes, the MCH agency always has this ability.

FORM 19
HEALTH SYSTEMS CAPACITY INDICATOR - REPORTING AND TRACKING FORM
STATE: NY

DATA SOURCES	Does your state participate in the YRBS survey? (Select 1 - 3)*	Does your MCH program have direct access to the state YRBS database for analysis? (Select Y/N)
Youth Risk Behavior Survey (YRBS)	3	No
Other: NYS Youth Tobacco Survey	3	Yes

*Where:
1 = No
2 = Yes, the State participates but the sample size is not large enough for valid statewide estimates for this age group.
3 = Yes, the State participates and the sample size is large enough for valid statewide estimates for this age group.

Notes:
1. HEALTH SYSTEMS CAPACITY INDICATOR #09B was formerly reported as Developmental Health Status Indicator #05.

FORM NOTES FOR FORM 19

09A - Staff from WIC and Newborn screening provide data to the MCH program as needed. WIC matches are on a study basis only.

09B - 3+ Yes, New York State participates and the sample size is large enough for valid statewide estimates for this age group.

FIELD LEVEL NOTES

None

FORM 20
HEALTH STATUS INDICATORS #01-#05
MULTI-YEAR DATA
STATE: NY

Form Level Notes for Form 20

None

HEALTH STATUS INDICATOR MEASURE # 01A

The percent of live births weighing less than 2,500 grams.

	<u>Annual Indicator Data</u>				
	2005	2006	2007	2008	2009
Annual Indicator	8.3	8.3	8.1	8.2	8.2
Numerator	20,367	20,760	20,560	20,471	20,471
Denominator	245,378	249,207	252,662	249,655	249,655

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer
 than 5 and therefore a 3-year moving average cannot be
 applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Field Level Notes

1. **Section Number:** Form20_Health Status Indicator #01A

Field Name: HSI01A

Row Name:

Column Name:

Year: 2009

Field Note:

2008 data are being used as a proxy for 2009.

HEALTH STATUS INDICATOR MEASURE # 01B

The percent of live singleton births weighing less than 2,500 grams.

		Annual Indicator Data				
		2005	2006	2007	2008	2009
Annual Indicator		<u>6.4</u>	<u>6.4</u>	<u>6.2</u>	<u>6.3</u>	<u>6.3</u>
Numerator		<u>15,020</u>	<u>15,253</u>	<u>14,994</u>	<u>15,081</u>	<u>15,081</u>
Denominator		<u>236,138</u>	<u>239,709</u>	<u>242,655</u>	<u>240,075</u>	<u>240,075</u>
Check this box if you cannot report the numerator because						
1. There are fewer than 5 events over the last year, and						
2. The average number of events over the last 3 years is fewer						
than 5 and therefore a 3-year moving average cannot be						
applied.						
<i>(Explain data in a year note. See Guidance, Appendix IX.)</i>						
Is the Data Provisional or Final?					Final	Provisional

Field Level Notes**1. Section Number:** Form20_Health Status Indicator #01B**Field Name:** HSI01B**Row Name:****Column Name:****Year:** 2009**Field Note:**

2008 data are being used as a proxy for 2009.

HEALTH STATUS INDICATOR MEASURE # 02A

The percent of live births weighing less than 1,500 grams.

		Annual Indicator Data			
	2005	2006	2007	2008	2009
Annual Indicator	<u>1.5</u>	<u>1.5</u>	<u>1.5</u>	<u>1.5</u>	<u>1.5</u>
Numerator	<u>3,765</u>	<u>3,849</u>	<u>3,716</u>	<u>3,733</u>	<u>3,733</u>
Denominator	<u>245,378</u>	<u>249,207</u>	<u>252,662</u>	<u>249,655</u>	<u>249,655</u>
Check this box if you cannot report the numerator because					
1. There are fewer than 5 events over the last year, and					
2. The average number of events over the last 3 years is fewer					
than 5 and therefore a 3-year moving average cannot be					
applied.					
<i>(Explain data in a year note. See Guidance, Appendix IX.)</i>					
Is the Data Provisional or Final?				Final	Provisional

Field Level Notes**1. Section Number:** Form20_Health Status Indicator #02A**Field Name:** HSI02A**Row Name:****Column Name:****Year:** 2009**Field Note:**

2008 data are being used as a proxy for 2009.

2. Section Number: Form20_Health Status Indicator #02A**Field Name:** HSI02A**Row Name:****Column Name:****Year:** 2008**Field Note:**

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HEALTH STATUS INDICATOR MEASURE # 02B

The percent of live singleton births weighing less than 1,500 grams.

	Annual Indicator Data				
	2005	2006	2007	2008	2009
Annual Indicator	1.2	1.2	1.1	1.1	1.1
Numerator	2,751	2,767	2,720	2,706	2,706
Denominator	236,138	239,709	242,655	240,075	240,075
Check this box if you cannot report the numerator because					
1. There are fewer than 5 events over the last year, and					
2. The average number of events over the last 3 years is fewer					
than 5 and therefore a 3-year moving average cannot be					
applied.					
(Explain data in a year note. See Guidance, Appendix IX.)					
Is the Data Provisional or Final?				Final	Provisional

Field Level Notes1. **Section Number:** Form20_Health Status Indicator #02B**Field Name:** HSI02B**Row Name:****Column Name:****Year:** 2009**Field Note:**

2008 data are being used as a proxy for 2009.

HEALTH STATUS INDICATOR MEASURE # 03A

The death rate per 100,000 due to unintentional injuries among children aged 14 years and younger.

	Annual Indicator Data				
	2005	2006	2007	2008	2009
Annual Indicator	3.7	4.0	4.7	4.3	4.3
Numerator	138	148	168	155	155
Denominator	3,744,186	3,698,463	3,597,289	3,604,140	3,604,140

Check this box if you cannot report the numerator because
1. There are fewer than 5 events over the last year, and
2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Field Level Notes

1. **Section Number:** Form20_Health Status Indicator #03A

Field Name: HSI03A

Row Name:

Column Name:

Year: 2008

Field Note:

.

2. **Section Number:** Form20_Health Status Indicator #03A

Field Name: HSI03A

Row Name:

Column Name:

Year: 2007

Field Note:

.

HEALTH STATUS INDICATOR MEASURE # 03B

The death rate per 100,000 for unintentional injuries among children aged 14 years and younger due to motor vehicle crashes.

	Annual Indicator Data				
	2005	2006	2007	2008	2009
Annual Indicator	<u>1.3</u>	<u>1.4</u>	<u>1.3</u>	<u>1.2</u>	<u>1.2</u>
Numerator	<u>49</u>	<u>50</u>	<u>48</u>	<u>43</u>	<u>43</u>
Denominator	<u>3,744,186</u>	<u>3,698,463</u>	<u>3,597,289</u>	<u>3,604,140</u>	<u>3,604,140</u>

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Field Level Notes

- Section Number:** Form20_Health Status Indicator #03B

Field Name: HSI03B

Row Name:

Column Name:

Year: 2009

Field Note:

2008 data are being used as a proxy for 2009.

- Section Number:** Form20_Health Status Indicator #03B

Field Name: HSI03B

Row Name:

Column Name:

Year: 2007

Field Note:

The number of MV related deaths is based on the definition used by the NYS Department of Health, Bureau of Biometrics and Health Statistics

HEALTH STATUS INDICATOR MEASURE # 03C

The death rate per 100,000 from unintentional injuries due to motor vehicle crashes among youth aged 15 through 24 years.

	2005	2006	Annual Indicator Data		
			2007	2008	2009
Annual Indicator	14.0	9.6	11.2	8.6	8.6
Numerator	366	360	313	240	240
Denominator	2,620,399	3,754,978	2,790,818	2,802,996	2,802,996

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Field Level Notes

1. **Section Number:** Form20_Health Status Indicator #03C

Field Name: HSI03C

Row Name:

Column Name:

Year: 2009

Field Note:

2008 data are being used as a proxy for 2009.

2. **Section Number:** Form20_Health Status Indicator #03C

Field Name: HSI03C

Row Name:

Column Name:

Year: 2007

Field Note:

The number of MV related deaths is based on the definition used by the NYS Department of Health, Bureau of Biometrics and Health Statistics.

HEALTH STATUS INDICATOR MEASURE # 04A

The rate per 100,000 of all nonfatal injuries among children aged 14 years and younger.

	Annual Indicator Data				
	2005	2006	2007	2008	2009
Annual Indicator	<u>268.9</u>	<u>260.4</u>	<u>270.3</u>	<u>253.0</u>	<u>253.0</u>
Numerator	<u>10,069</u>	<u>9,632</u>	<u>9,722</u>	<u>9,118</u>	<u>9,118</u>
Denominator	<u>3,744,186</u>	<u>3,698,463</u>	<u>3,597,289</u>	<u>3,604,140</u>	<u>3,604,140</u>

Check this box if you cannot report the numerator because
1. There are fewer than 5 events over the last year, and
2. The average number of events over the last 3 years is fewer
than 5 and therefore a 3-year moving average cannot be
applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Field Level Notes

1. **Section Number:** Form20_Health Status Indicator #04A

Field Name: HSI04A

Row Name:

Column Name:

Year: 2009

Field Note:

2008 data are being used as a proxy for 2009.

HEALTH STATUS INDICATOR MEASURE # 04B

The rate per 100,000 of nonfatal injuries due to motor vehicle crashes among children aged 14 years and younger.

	Annual Indicator Data				
	2005	2006	2007	2008	2009
Annual Indicator	<u>26.9</u>	<u>30.1</u>	<u>29.0</u>	<u>25.8</u>	<u>25.8</u>
Numerator	<u>1,020</u>	<u>1,114</u>	<u>1,044</u>	<u>929</u>	<u>929</u>
Denominator	<u>3,790,880</u>	<u>3,698,463</u>	<u>3,597,289</u>	<u>3,604,140</u>	<u>3,604,140</u>

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Field Level Notes

- Section Number:** Form20_Health Status Indicator #04B
Field Name: HSI04B
Row Name:
Column Name:
Year: 2009
Field Note:
 2008 data are being used as a proxy for 2009.
 Non-fatal MV related injuries include pedestrians and cyclists
- Section Number:** Form20_Health Status Indicator #04B
Field Name: HSI04B
Row Name:
Column Name:
Year: 2008
Field Note:
 Non-fatal MV related injuries include pedestrians and cyclists
- Section Number:** Form20_Health Status Indicator #04B
Field Name: HSI04B
Row Name:
Column Name:
Year: 2007
Field Note:
 Non-fatal MV related injuries include pedestrians and cyclists.

HEALTH STATUS INDICATOR MEASURE # 04C

The rate per 100,000 of nonfatal injuries due to motor vehicle crashes among youth aged 15 through 24 years.

	Annual Indicator Data				
	2005	2006	2007	2008	2009
Annual Indicator	<u>118.2</u>	<u>121.8</u>	<u>122.1</u>	<u>103.4</u>	<u>103.4</u>
Numerator	<u>3,097</u>	<u>3,355</u>	<u>3,407</u>	<u>2,898</u>	<u>2,898</u>
Denominator	<u>2,620,399</u>	<u>2,754,978</u>	<u>2,790,818</u>	<u>2,802,996</u>	<u>2,802,996</u>

Check this box if you cannot report the numerator because
 1. There are fewer than 5 events over the last year, and
 2. The average number of events over the last 3 years is fewer than 5 and therefore a 3-year moving average cannot be applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Field Level Notes

- Section Number:** Form20_Health Status Indicator #04C
Field Name: HSI04C
Row Name:
Column Name:
Year: 2009
Field Note:
 2008 data are being used as a proxy for 2009.
 Non-fatal MV related injuries include pedestrians and cyclists
- Section Number:** Form20_Health Status Indicator #04C
Field Name: HSI04C
Row Name:
Column Name:
Year: 2008
Field Note:
 Non-fatal MV related injuries include pedestrians and cyclists
- Section Number:** Form20_Health Status Indicator #04C
Field Name: HSI04C
Row Name:
Column Name:
Year: 2007
Field Note:
 Non-fatal MV related injuries include pedestrians and cyclists

HEALTH STATUS INDICATOR MEASURE # 05A

The rate per 1,000 women aged 15 through 19 years with a reported case of chlamydia.

	Annual Indicator Data				
	2005	2006	2007	2008	2009
Annual Indicator	<u>25.6</u>	<u>25.6</u>	<u>29.8</u>	<u>33.7</u>	<u>33.7</u>
Numerator	<u>16,449</u>	<u>17,351</u>	<u>20,378</u>	<u>23,104</u>	<u>23,104</u>
Denominator	<u>643,315</u>	<u>677,708</u>	<u>683,829</u>	<u>686,495</u>	<u>686,495</u>

Check this box if you cannot report the numerator because
1. There are fewer than 5 events over the last year, and
2. The average number of events over the last 3 years is fewer
than 5 and therefore a 3-year moving average cannot be
applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Field Level Notes

1. **Section Number:** Form20_Health Status Indicator #05A

Field Name: HSI05A

Row Name:

Column Name:

Year: 2009

Field Note:

2008 data are being used as a proxy for 2009.

HEALTH STATUS INDICATOR MEASURE # 05B

The rate per 1,000 women aged 20 through 44 years with a reported case of chlamydia.

	Annual Indicator Data				
	2005	2006	2007	2008	2009
Annual Indicator	<u>8.0</u>	<u>11.4</u>	<u>10.0</u>	<u>10.6</u>	<u>10.6</u>
Numerator	<u>27,515</u>	<u>38,939</u>	<u>34,020</u>	<u>35,910</u>	<u>35,910</u>
Denominator	<u>3,441,631</u>	<u>3,418,040</u>	<u>3,395,372</u>	<u>3,389,687</u>	<u>3,389,687</u>

Check this box if you cannot report the numerator because
1. There are fewer than 5 events over the last year, and
2. The average number of events over the last 3 years is fewer
than 5 and therefore a 3-year moving average cannot be
applied.

(Explain data in a year note. See Guidance, Appendix IX.)

Is the Data Provisional or Final?

Final

Provisional

Field Level Notes

1. **Section Number:** Form20_Health Status Indicator #05B

Field Name: HSI05B

Row Name:

Column Name:

Year: 2009

Field Note:

2008 data are being used as a proxy for 2009.

FORM 21
HEALTH STATUS INDICATORS
DEMOGRAPHIC DATA
STATE: NY

HSI #06A - Demographics (Total Population) *Infants and children aged 0 through 24 years enumerated by sub-populations of age group and race. (Demographics)*

For both parts A and B: Reporting Year: 2008 Is this data from a State Projection? No Is this data final or provisional? Final

CATEGORY TOTAL POPULATION BY RACE	Total All Races	White	Black or African American	American Indian or Native Alaskan	Asian	Native Hawaiian or Other Pacific Islander	More than one race reported	Other and Unknown
Infants 0 to 1	250,282	168,695	59,565	2,420	19,602	0	0	0
Children 1 through 4	958,213	660,926	211,334	6,746	79,207	0	0	0
Children 5 through 9	1,173,057	835,111	242,042	7,960	87,944	0	0	0
Children 10 through 14	1,222,588	870,353	258,458	10,395	83,382	0	0	0
Children 15 through 19	1,403,050	1,004,909	298,688	11,570	87,883	0	0	0
Children 20 through 24	1,399,946	1,006,545	284,495	11,924	96,982	0	0	0
Children 0 through 24	6,407,136	4,546,539	1,354,582	51,015	455,000	0	0	0

HSI #06B - Demographics (Total Population) *Infants and children aged 0 through 24 years enumerated by sub-populations of age group and ethnicity. (Demographics)*

CATEGORY TOTAL POPULATION BY HISPANIC ETHNICITY	Total NOT Hispanic or Latino	Total Hispanic or Latino	Ethnicity Not Reported
Infants 0 to 1	185,820	64,462	0
Children 1 through 4	725,958	232,255	0
Children 5 through 9	921,842	251,215	0
Children 10 through 14	983,657	238,931	0
Children 15 through 19	1,143,154	259,896	0
Children 20 through 24	1,138,063	261,883	0
Children 0 through 24	5,098,494	1,308,642	0

FORM 21
HEALTH STATUS INDICATORS
DEMOGRAPHIC DATA
STATE: NY

HSI #07A - Demographics (Total live births) *Live births to women (of all ages) enumerated by maternal age and race. (Demographics)*

For both parts A and B: Reporting Year: 2008 Is this data from a State Projection? No Is this data final or provisional? Final

CATEGORY TOTAL LIVE BIRTHS BY RACE	Total All Races	White	Black or African American	American Indian or Native Alaskan	Asian	Native Hawaiian or Other Pacific Islander	More than one race reported	Other and Unknown
Women < 15	242	115	102	0	2	0	0	23
Women 15 through 17	5,074	2,754	1,720	15	65	0	0	520
Women 18 through 19	12,171	7,280	3,539	33	245	0	0	1,074
Women 20 through 34	182,632	120,162	32,783	1,703	16,184	0	0	11,800
Women 35 or older	49,499	34,536	7,415	331	4,708	0	0	2,509
Women of all ages	249,618	164,847	45,559	2,082	21,204	0	0	15,926

HSI #07B - Demographics (Total live births) *Live births to women (of all ages) enumerated by maternal age and ethnicity. (Demographics)*

CATEGORY TOTAL LIVE BIRTHS BY HISPANIC ETHNICITY	Total NOT Hispanic or Latino	Total Hispanic or Latino	Ethnicity Not Reported
Women < 15	133	109	0
Women 15 through 17	2,859	2,215	0
Women 18 through 19	7,511	4,660	0
Women 20 through 34	138,026	44,606	0
Women 35 or older	41,199	8,300	0
Women of all ages	189,728	59,890	0

FORM 21
HEALTH STATUS INDICATORS
DEMOGRAPHIC DATA
STATE: NY

HSI #08A - Demographics (Total deaths) Deaths of Infants and children aged 0 through 24 years enumerated by age subgroup and race. (Demographics)

For both parts A and B: Reporting Year: 2008 Is this data from a State Projection? No Is this data final or provisional? Final

CATEGORY TOTAL DEATHS BY RACE	Total All Races	White	Black or African American	American Indian or Native Alaskan	Asian	Native Hawaiian or Other Pacific Islander	More than one race reported	Other and Unknown
Infants 0 to 1	1,359	723	492	3	52	8	0	81
Children 1 through 4	213	132	63	2	9	0	0	7
Children 5 through 9	136	90	36	0	6	0	0	4
Children 10 through 14	168	112	39	1	10	0	0	6
Children 15 through 19	495	301	155	2	21	1	0	15
Children 20 through 24	864	578	230	5	19	6	0	26
Children 0 through 24	3,235	1,936	1,015	13	117	15	0	139

HSI #08B - Demographics (Total deaths) Deaths of Infants and children aged 0 through 24 years enumerated by age subgroup and ethnicity. (Demographics)

CATEGORY TOTAL DEATHS BY HISPANIC ETHNICITY	Total NOT Hispanic or Latino	Total Hispanic or Latino	Ethnicity Not Reported
Infants 0 to 1	1,089	267	3
Children 1 through 4	172	41	0
Children 5 through 9	113	23	0
Children 10 through 14	134	34	0
Children 15 through 19	420	75	0
Children 20 through 24	703	161	0
Children 0 through 24	2,631	601	3

FORM 21
HEALTH STATUS INDICATORS
DEMOGRAPHIC DATA
STATE: NY

HSI #09A - Demographics (Miscellaneous Data) *Infants and children aged 0 through 19 years in miscellaneous situations or enrolled in various State programs enumerated by race. (Demographics)*

Is this data final or provisional? Final

CATEGORY Miscellaneous Data BY RACE	Total All Races	White	Black or African American	American Indian or Native Alaskan	Asian	Native Hawaiian or Other Pacific Islander	More than one race reported	Other and Unknown	Specific Reporting Year
All children 0 through 19	18,090,351	13,436,736	3,192,580	120,374	1,340,661	0	0	0	2008
Percent in household headed by single parent	35.0	0.0	0.0	0.0	0.0	0.0	0.0	35.0	2008
Percent in TANF (Grant) families	3.9	0.0	0.0	0.0	0.0	0.0	0.0	3.9	2008
Number enrolled in Medicaid	2,068,245	0	0	0	0	0	0	2,068,245	2008
Number enrolled in SCHIP	394,716	0	0	0	0	0	0	394,716	2009
Number living in foster home care	25,925	0	0	0	0	0	0	25,925	2008
Number enrolled in food stamp program	851,116	0	0	0	0	0	0	851,116	2008
Number enrolled in WIC	492,364	138,270	116,172	4,295	38,463	0	8,433	186,731	2008
Rate (per 100,000) of juvenile crime arrests	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2,741.7	2008
Percentage of high school drop-outs (grade 9 through 12)	0.0	0.0	0.0	0.0	0.0	0.0	0.0	2.9	2008

HSI #09B - Demographics (Miscellaneous Data) *Infants and children aged 0 through 19 years in miscellaneous situations or enrolled in various State programs enumerated by ethnicity. (Demographics)*

CATEGORY Miscellaneous Data BY HISPANIC ETHNICITY	Total NOT Hispanic or Latino	Total Hispanic or Latino	Ethnicity Not Reported	Specific Reporting Year
All children 0 through 19	15,102,196	2,988,155	0	2008
Percent in household headed by single parent	0.0	0.0	35.0	2008
Percent in TANF (Grant) families	0.0	0.0	3.9	2008
Number enrolled in Medicaid	0	0	2,068,245	2008
Number enrolled in SCHIP	0	0	394,716	2009
Number living in foster home care	0	0	25,925	2008
Number enrolled in food stamp program	0	0	851,116	2008
Number enrolled in WIC	305,633	186,731	0	2008
Rate (per 100,000) of juvenile crime arrests	0.0	0.0	2,741.7	2008
Percentage of high school drop-outs (grade 9 through 12)	0.0	0.0	2.9	2008

FORM 21
HEALTH STATUS INDICATORS
DEMOGRAPHIC DATA
STATE: NY

HSI #10 - Demographics (Geographic Living Area) *Geographic living area for all resident children aged 0 through 19 years old. (Demographics)*

Reporting Year: 2008 Is this data from a State Projection? No Is this data final or provisional? Final

GEOGRAPHIC LIVING AREAS	TOTAL
Living in metropolitan areas	<u>4,479,000</u>
Living in urban areas	<u>4,479,000</u>
Living in rural areas	<u>390,000</u>
Living in frontier areas	<u>0</u>
Total - all children 0 through 19	<u>4,869,000</u>

Note:

The Total will be determined by adding reported numbers for urban, rural and frontier areas.

FORM 21
HEALTH STATUS INDICATORS
DEMOGRAPHIC DATA
STATE: NY

HSI #11 - Demographics (Poverty Levels) *Percent of the State population at various levels of the federal poverty level. (Demographics)*

Reporting Year: 2008 Is this data from a State Projection? No Is this data final or provisional? Final

POVERTY LEVELS	TOTAL
Total Population	19,309,000.0
Percent Below: 50% of poverty	6.1
100% of poverty	14.2
200% of poverty	31.4

FORM 21
HEALTH STATUS INDICATORS
DEMOGRAPHIC DATA
STATE: NY

HSI #12 - Demographics (Poverty Levels) *Percent of the State population aged 0 through 19 at various levels of the federal poverty level. (Demographics)*

Reporting Year: 2008 Is this data from a State Projection? No Is this data final or provisional? Final

POVERTY LEVELS	TOTAL
Children 0 through 19 years old	<u>4,869,000.0</u>
Percent Below: 50% of poverty	<u>9.1</u>
100% of poverty	<u>19.9</u>
200% of poverty	<u>40.2</u>

FORM NOTES FOR FORM 21

HSI 7a and 7b report the race/ethnicity and age of 249,618 women giving births in 2008. An additional 37 births were to women with unknown age for a total of 249,655 births in 2008..

FIELD LEVEL NOTES

1. **Section Number:** Form21_Indicator 09A
Field Name: HSIRace_Children
Row Name: All children 0 through 19
Column Name:
Year: 2011
Field Note:
Source: NCHS population estimates - "Bridged Race Vintage 2008"
2. **Section Number:** Form21_Indicator 09A
Field Name: HSIRace_SingleParentPercent
Row Name: Percent in household headed by single parent
Column Name:
Year: 2011
Field Note:
Source: US Census Bureau, 2008 American Community Survey
3. **Section Number:** Form21_Indicator 09A
Field Name: HSIRace_TANFPercent
Row Name: Percent in TANF (Grant) families
Column Name:
Year: 2011
Field Note:
Source: US HHS, Office of Family Assistance, 2008 CY TANF Report. as pf 11/18/09. 193,654 children in TANF family.
4. **Section Number:** Form21_Indicator 09A
Field Name: HSIRace_MedicaidNo
Row Name: Number enrolled in Medicaid
Column Name:
Year: 2011
Field Note:
Source: NYS Department of Health, Office of Medicaid Management, FFY 2008 CMS416 Report.
5. **Section Number:** Form21_Indicator 09A
Field Name: HSIRace_FoodStampNo
Row Name: Number enrolled in food stamp program
Column Name:
Year: 2011
Field Note:
Source: NYS Office of Temporary and Disability Assistance, Welfare Management System
6. **Section Number:** Form21_Indicator 09A
Field Name: HSIRace_WICNo
Row Name: Number enrolled in WIC
Column Name:
Year: 2011
Field Note:
Source: NYS Pediatric Nutrition Surveillance System, 2008
7. **Section Number:** Form21_Indicator 09A
Field Name: HSIRace_JuvenileCrimeRate
Row Name: Rate (per 100,000) of juvenile crime arrests
Column Name:
Year: 2011
Field Note:
Data includes 44,722 arrests for violent and property index crimes in NYS among youth ages 16-21. The rate is based on a population figure of 1,631,205 youth ages 16-21.
Source: NYS Division of Criminal Justice Services, Computerized Criminal History System.
8. **Section Number:** Form21_Indicator 09A
Field Name: HSIRace_DropOutPercent
Row Name: Percentage of high school drop-outs (grade 9 through 12)
Column Name:
Year: 2011
Field Note:
Drop-out rates are for public school students for the 2008-2009 school year.
9. **Section Number:** Form21_Indicator 09B
Field Name: HSIEthnicity_Children
Row Name: All children 0 through 19
Column Name:
Year: 2011
Field Note:
Source: NCHS population estimates - "Bridged Race Vintage 2008"
10. **Section Number:** Form21_Indicator 10
Field Name: Urban
Row Name: Living in urban areas
Column Name:
Year: 2011
Field Note:
Population living in Rural (8%) and Urban (92%) areas based on 2009 State Fact Sheet, USDA, Economic Research Service. Child population, 2008 population estimates.
11. **Section Number:** Form21_Indicator 10
Field Name: Rural
Row Name: Living in rural areas
Column Name:
Year: 2011
Field Note:
Population living in Rural (8%) and Urban (92%) areas based on 2009 State Fact Sheet, USDA, Economic Research Service. Child population, 2008 population estimates

12. **Section Number:** Form21_Indicator 11
Field Name: S11_total
Row Name: Total Population
Column Name:
Year: 2011
Field Note:
Source: 2009 Current Population Survey, 2008 data.
13. **Section Number:** Form21_Indicator 11
Field Name: S11_50percent
Row Name: Percent Below: 50% of poverty
Column Name:
Year: 2011
Field Note:
Source: 2009 Current Population Survey, 2008 data
14. **Section Number:** Form21_Indicator 11
Field Name: S11_100percent
Row Name: 100% of poverty
Column Name:
Year: 2011
Field Note:
Source: 2009 Current Population Survey, 2008 data
15. **Section Number:** Form21_Indicator 11
Field Name: S11_200percent
Row Name: 200% of poverty
Column Name:
Year: 2011
Field Note:
Source: 2009 Current Population Survey, 2008 data
16. **Section Number:** Form21_Indicator 12
Field Name: S12_Children
Row Name: Children 0 through 19 years old
Column Name:
Year: 2011
Field Note:
Source: 2009 Current Population Survey, 2008 data
17. **Section Number:** Form21_Indicator 12
Field Name: S12_50percent
Row Name: Percent Below: 50% of poverty
Column Name:
Year: 2011
Field Note:
Source: 2009 Current Population Survey, 2008 data
18. **Section Number:** Form21_Indicator 12
Field Name: S12_100percent
Row Name: 100% of poverty
Column Name:
Year: 2011
Field Note:
Source: 2009 Current Population Survey, 2008 data
19. **Section Number:** Form21_Indicator 12
Field Name: S12_200percent
Row Name: 200% of poverty
Column Name:
Year: 2011
Field Note:
Source: 2009 Current Population Survey, 2008 data
20. **Section Number:** Form21_Indicator 09A
Field Name: HSIRace_FosterCare
Row Name: Number living in foster home care
Column Name:
Year: 2011
Field Note:
Source: NYS Office of children and Family Services, Child Care Review Service.

FORM 11
TRACKING PERFORMANCE MEASURES
[SECS 485 (2)(2)(B)(iii) AND 486 (A)(2)(A)(iii)]
STATE: NY

Form Level Notes for Form 11

NPM 01 2007, 2008, and 2009 numerator and denominator data represent only screen positives, unlike previous years, where these numbers represent all newborns screened. For 2007-2009, the numerator is the number of cases closed & the denominator is the number of screen positive newborns for the year. The annual indicator is the number of closed cases divided by number of screen positive cases reported as a percent. 2008 data is being used as a proxy for 2009 data. 2009 data will be available by late 2010. NPM07 2008 data is being used as a proxy for 2009 data. It is estimated that final 2009 immunization data will be available from CDC late 2010 or early 2011. NPM08 2008 data has been updated and finalized since previous submission. 2008 data are used as a proxy for 2009 data, which are not yet available. It is estimated that 2009 data will be available by late 2010. NPM10 2008 data is being used as a proxy for 2009. The number of motor vehicle deaths is based on the definition used by the NYSDOH Bureau of Biometrics and Health Statistics and includes pedestrians and cyclists. The definition changed in 2004 as prior to that time, pedestrians and cyclists were not included. It is estimated that 2009 data will be available by late 2010. NPM11 Data source is the National Immunization Survey. 2008 data has been revised and finalized from previous submission. 2008 data is for 2006 birth cohort. 2008 data is being used as a proxy for 2009 data. It is estimated that final 2009 data will be available from CDC late 2010 or early 2011. NPM12 2008 data is being used as a proxy for 2009 data. It is estimated that 2009 data will be available by late 2010. NPM13 2008 data is being used as a proxy for 2009 data. It is estimated that 2009 data will be available by late 2010. NPM14 2008 data is being used as a proxy for 2009 data. It is estimated that 2009 data will be available by late 2010. NPM15 Data is from the NYS Prams Survey, excluding NYC as that data is not yet available. 2008 data has been finalized since previous submission. 2008 data is being used as a proxy for 2009. In comparing data from the NYC and Upstate PRAMS surveys for 2005-2007, women giving birth in Upstate NY in 2007 were more likely to smoke during the last 3 months of pregnancy as compared to women residing in NYC (13.7% and 4.7% respectively). NPM16 2008 data is being used as a proxy for 2009 data. It is estimated that 2009 data will be available by late 2010. NPM17 2008 data has been finalized. 2008 data is being used as a proxy for 2009. It is estimated that 2009 data will be available by late 2010. NPM18 2008 data has been finalized since previous submission. 2008 data is being used as a proxy for 2009 data. The denominator is the total number of births for which prenatal care initiation is known and excludes births where trimester of entry into prenatal care is unknown. 2009 data will be available by late 2010.

STATE PERFORMANCE MEASURE # 1 - NEW FOR NEEDS ASSESSMENT CYCLE 2011-2015

The percentage of infants born to Black and Hispanic women receiving prenatal care beginning in the first trimester.

	<u>Annual Objective and Performance Data</u>				
	2005	2006	2007	2008	2009
Annual Performance Objective	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Annual Indicator	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Numerator	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Denominator	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Data Source	<input type="text"/>				
Is the Data Provisional or Final?	<input type="text"/>				

	<u>Annual Objective and Performance Data</u>				
	2010	2011	2012	2013	2014
Annual Performance Objective	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Annual Indicator	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Numerator	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Denominator	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

While you may enter preliminary objectives for State Performance Measures for the Needs Assessment Period 2011-2015, this is not required until next year.

Field Level Notes

None

STATE PERFORMANCE MEASURE # 2 - NEW FOR NEEDS ASSESSMENT CYCLE 2011-2015

The percentage of Medicaid enrolled children between the ages of 3 and 6 years who had a well-child and preventive health visit in the past year

	<u>Annual Objective and Performance Data</u>				
	2005	2006	2007	2008	2009
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
Data Source					
Is the Data Provisional or Final?					

	<u>Annual Objective and Performance Data</u>				
	2010	2011	2012	2013	2014
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Indicator					
Numerator	While you may enter preliminary objectives for State Performance Measures for the Needs Assessment Period 2011-2015, this is not required until next year.				
Denominator					

Field Level Notes

None

STATE PERFORMANCE MEASURE # 3 - NEW FOR NEEDS ASSESSMENT CYCLE 2011-2015

The ratio of the Black infant low birth weight rate to the White infant low birth weight rate

	Annual Objective and Performance Data				
	2005	2006	2007	2008	2009
Annual Performance Objective					
Annual Indicator					
Numerator					
Denominator					
Data Source					
Is the Data Provisional or Final?					

	Annual Objective and Performance Data				
	2010	2011	2012	2013	2014
Annual Performance Objective					
Annual Indicator					
Numerator					
Denominator					

While you may enter preliminary objectives for State Performance Measures for the Needs Assessment Period 2011-2015, this is not required until next year.

Field Level Notes

None

STATE PERFORMANCE MEASURE # 4 - NEW FOR NEEDS ASSESSMENT CYCLE 2011-2015

The percentage of high school students who were overweight or obese

	<u>Annual Objective and Performance Data</u>				
	2005	2006	2007	2008	2009
Annual Performance Objective					
Annual Indicator					
Numerator					
Denominator					
Data Source					
Is the Data Provisional or Final?					

	<u>Annual Objective and Performance Data</u>				
	2010	2011	2012	2013	2014
Annual Performance Objective					
Annual Indicator					
Numerator					
Denominator					

While you may enter preliminary objectives for State Performance Measures for the Needs Assessment Period 2011-2015, this is not required until next year.

Field Level Notes

None

STATE PERFORMANCE MEASURE # 5 - NEW FOR NEEDS ASSESSMENT CYCLE 2011-2015

The ratio of the Hispanic teen (ages 15-17) pregnancy rate to the non-Hispanic White teen (ages 15-17) pregnancy rate

<u>Annual Objective and Performance Data</u>					
	2005	2006	2007	2008	2009
Annual Performance Objective					
Annual Indicator					
Numerator					
Denominator					
Data Source					
Is the Data Provisional or Final?					

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective					
Annual Indicator					
Numerator					
Denominator					

While you may enter preliminary objectives for State Performance Measures for the Needs Assessment Period 2011-2015, this is not required until next year.

Field Level Notes

None

STATE PERFORMANCE MEASURE # 6 - NEW FOR NEEDS ASSESSMENT CYCLE 2011-2015

Percent of High School Students Who Smoked Cigarettes in the Last Month

Annual Objective and Performance Data					
	2005	2006	2007	2008	2009
Annual Performance Objective		5	5	5	5
Annual Indicator	16.2	16.2	13.8	13.8	14.9
Numerator					
Denominator					
Data Source				YRBS	YRBS
Is the Data Provisional or Final?				Final	Final

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective	<u>5</u>	<u>5</u>	<u>4</u>	<u>4</u>	<u></u>
Annual Indicator					
Numerator					
Denominator					

While you may enter preliminary objectives for State Performance Measures for the Needs Assessment Period 2011-2015, this is not required until next year.

Field Level Notes

- Section Number:** Form11_State Performance Measure #6
Field Name: SM6
Row Name:
Column Name:
Year: 2009
Field Note:
 2009 data are from the 2009 (biannual)Youth Risk Behavior Survey. Numerator and denominator data are not available (survey data).
- Section Number:** Form11_State Performance Measure #6
Field Name: SM6
Row Name:
Column Name:
Year: 2008
Field Note:
 2008 data are from the 2007 (biannual)Youth Risk Behavior Survey. Numerator and denominator data are not available (survey data).
- Section Number:** Form11_State Performance Measure #6
Field Name: SM6
Row Name:
Column Name:
Year: 2007
Field Note:
 Numerator and Denominator data not available (2007 YRBS survey data).

STATE PERFORMANCE MEASURE # 7 - NEW FOR NEEDS ASSESSMENT CYCLE 2011-2015

The percentage of Medicaid enrolled children and adolescents between the ages of 2-21 years who had at least one dental visit within the last year

<u>Annual Objective and Performance Data</u>					
	2005	2006	2007	2008	2009
Annual Performance Objective					
Annual Indicator					
Numerator					
Denominator					
Data Source					
Is the Data Provisional or Final?					

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective					
Annual Indicator					
Numerator					
Denominator					

While you may enter preliminary objectives for State Performance Measures for the Needs Assessment Period 2011-2015, this is not required until next year.

Field Level Notes

None

STATE PERFORMANCE MEASURE # 8 - NEW FOR NEEDS ASSESSMENT CYCLE 2011-2015

Percentage of children who were tested for lead two or more times before the age of three.

	<u>Annual Objective and Performance Data</u>				
	2005	2006	2007	2008	2009
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
Data Source					
Is the Data Provisional or Final?					

	<u>Annual Objective and Performance Data</u>				
	2010	2011	2012	2013	2014
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Indicator					
Numerator					
Denominator					

While you may enter preliminary objectives for State Performance Measures for the Needs Assessment Period 2011-2015, this is not required until next year.

Field Level Notes

None

STATE PERFORMANCE MEASURE # 9 - NEW FOR NEEDS ASSESSMENT CYCLE 2011-2015

Hospitalization Rate for Asthma in Children Ages 0 to 17 years.

	Annual Objective and Performance Data				
	2005	2006	2007	2008	2009
Annual Performance Objective					
Annual Indicator					
Numerator					
Denominator					
Data Source					
Is the Data Provisional or Final?					

	Annual Objective and Performance Data				
	2010	2011	2012	2013	2014
Annual Performance Objective					
Annual Indicator					
Numerator					
Denominator					

While you may enter preliminary objectives for State Performance Measures for the Needs Assessment Period 2011-2015, this is not required until next year.

Field Level Notes

None

STATE PERFORMANCE MEASURE # 10 - NEW FOR NEEDS ASSESSMENT CYCLE 2011-2015

The percentage of infants who were exclusively fed breast milk between birth and hospital discharge

<u>Annual Objective and Performance Data</u>					
	2005	2006	2007	2008	2009
Annual Performance Objective					
Annual Indicator					
Numerator					
Denominator					
Data Source					
Is the Data Provisional or Final?					

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective					
Annual Indicator					
Numerator					
Denominator					

While you may enter preliminary objectives for State Performance Measures for the Needs Assessment Period 2011-2015, this is not required until next year.

Field Level Notes

None

FORM 12
TRACKING HEALTH OUTCOME MEASURES
[SECS 505 (A)(2)(B)(iii) AND 506 (A)(2)(A)(iii)]
STATE: NY

Form Level Notes for Form 12

None

STATE OUTCOME MEASURE # 1 - NEW FOR NEEDS ASSESSMENT CYCLE 2011-2015

Maternal Mortality Rate per 100,000 Live Births

<u>Annual Objective and Performance Data</u>					
	2005	2006	2007	2008	2009
Annual Performance Objective		20	19.5	19	18.5
Annual Indicator	14.7	19.3	15.8	29.2	29.2
Numerator	36	48	40	73	73
Denominator	245,378	249,207	252,662	249,655	249,655
Data Source				Vital Records	Vital Records
Is the Data Provisional or Final?				Final	Provisional

<u>Annual Objective and Performance Data</u>					
	2010	2011	2012	2013	2014
Annual Performance Objective	18	17.5	17	16	
Annual Indicator					
Numerator					
Denominator					

Please fill in only the Objectives for the above years. Numerator, Denominator and Annual Indicators are not required for future year data.

Field Level Notes

1. Section Number: Form12_State Outcome Measure 1

Field Name: SO1

Row Name:

Column Name:

Year: 2009

Field Note:

2008 statewide vital records data are being used as a proxy for 2009. The variability of this rate can be substantial on an annual basis, and depends on a number of factors, primary among which is the intensity with which case ascertainment is pursued. The Safe Motherhood/ Maternal Mortality initiative being implemented in NYS by the American College of Obstetricians and Gynecologists, in collaboration with DOH, has improvement in case ascertainment as one of its major foci. We should therefore expect the rate to increase somewhat in response to this effort, while the impact of educational initiatives designed to reduce maternal mortality is expected to lag behind ascertainment in terms of impact on the rate

2. Section Number: Form12_State Outcome Measure 1

Field Name: SO1

Row Name:

Column Name:

Year: 2008

Field Note:

The variability of this rate can be substantial on an annual basis, and depends on a number of factors, primary among which is the intensity with which case ascertainment is pursued. The Safe Motherhood/ Maternal Mortality initiative being implemented in NYS by the American College of Obstetricians and Gynecologists, in collaboration with DOH, has improvement in case ascertainment as one of its major foci. We should therefore expect the rate to increase somewhat in response to this effort, while the impact of educational initiatives designed to reduce maternal mortality is expected to lag behind ascertainment in terms of impact on the rate

3. Section Number: Form12_State Outcome Measure 1

Field Name: SO1

Row Name:

Column Name:

Year: 2007

Field Note:

The variability of this rate can be substantial on an annual basis, and depends on a number of factors, primary among which is the intensity with which case ascertainment is pursued. The Safe Motherhood/ Maternal Mortality initiative being implemented in NYS by the American College of Obstetricians and Gynecologists, in collaboration with DOH, has improvement in case ascertainment as one of its major foci. We should therefore expect the rate to increase somewhat in response to this effort, while the impact of educational initiatives designed to reduce maternal mortality is expected to lag behind ascertainment in terms of impact on the rate.

STATE OUTCOME MEASURE # 2 - NEW FOR NEEDS ASSESSMENT CYCLE 2011-2015

The percentage of elective deliveries, both cesarean sections and inductions, performed without appropriate indication between 36 and 38 6/7 weeks gestation

	<u>Annual Objective and Performance Data</u>				
	2005	2006	2007	2008	2009
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Indicator	_____	_____	_____	_____	_____
Numerator	_____	_____	_____	_____	_____
Denominator	_____	_____	_____	_____	_____
Data Source					
Is the Data Provisional or Final?					

	<u>Annual Objective and Performance Data</u>				
	2010	2011	2012	2013	2014
Annual Performance Objective	_____	_____	_____	_____	_____
Annual Indicator					
Numerator					
Denominator					

Please fill in only the Objectives for the above years. Numerator, Denominator and Annual Indicators are not required for future year data.

Field Level Notes

None

FORM 16
STATE PERFORMANCE AND OUTCOME MEASURE DETAIL SHEET
STATE: NY

SP(New for Needs Assessment cycle 2011-2015) # 1

PERFORMANCE MEASURE:

The percentage of infants born to Black and Hispanic women receiving prenatal care beginning in the first trimester.

STATUS:

Active

GOAL

Increase the percentage of Black and Hispanic women receiving early prenatal care.

DEFINITION

Percentage of births to Black non-Hispanic and Hispanic women who started prenatal care during their first trimester.

Numerator:

Number of births to Black non-Hispanic and Hispanic women who started prenatal care during their first trimester.

Denominator:

Number of births to Black non-Hispanic and Hispanic women (excluding births with unknown prenatal care start dates).

Units: 100 **Text:** Percent

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

NYS Vital Records

SIGNIFICANCE

It is essential that women, especially high risk women, receive early prenatal care where their needs can be assessed, and they can be provided with necessary health and psychosocial supports. While health disparities related to early entry prenatal care have improved somewhat in the last decade, they still remain significant, highlighting the specific importance of monitoring prenatal care for minority populations.

SP(New for Needs Assessment cycle 2011-2015) # 2

PERFORMANCE MEASURE:

The percentage of Medicaid enrolled children between the ages of 3 and 6 years who had a well-child and preventive health visit in the past year

STATUS:

Active

GOAL

To increase the percent of children in the 3-6 age group who have an annual preventive health visit

DEFINITION

The percentage of Medicaid enrolled children ages 3-6 years with a well child and preventive health visit in the past year.

Numerator:

Number of Medicaid enrolled children (ages 3-6) who have had a well child preventive health visit

Denominator:

Number of Medicaid enrolled children (ages 3-6) years.

Units: 100 **Text:** Percent

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

Source: The NYS Quality Assurance Reporting Requirements (QARR)- report of managed care plan performance. Data only include information for enrollees in managed care programs.

SIGNIFICANCE

Having health insurance alone does not assure access to or utilization of necessary health care services. Well child preventive visits are an essential component of high quality health care.

PERFORMANCE MEASURE:

The ratio of the Black infant low birth weight rate to the White infant low birth weight rate

STATUS:

Active

GOAL

To reduce the disparity between the White and Black low birth weight rates.

DEFINITION

Ratio of Black to White low birth weight rates

Numerator:

The percent of Black infants born weighting less than 2500 grams

Denominator:

The percent of White infants born weighing less than 2500 grams

Units: 1 **Text:** Ratio

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

NYS Vital Records

SIGNIFICANCE

Elimination of health disparities is a high priority for the Department and the Governor and permeates the work of the department. The Black low birth weight rate in NYS is about double the rate of the White rate.

PERFORMANCE MEASURE:	The percentage of high school students who were overweight or obese
STATUS:	Active
GOAL	To reduce the percentage of adolescents who are overweight or obese
DEFINITION	<p>The percentage of high school students who were overweight or obese (i.e., at or above the 85th percentile for body mass index, by age and sex)</p> <p>Numerator: The number of high school students with BMIs above the 85th percentile by age and sex.</p> <p>Denominator: The number of high school students</p> <p>Units: 100 Text: Percent</p>
HEALTHY PEOPLE 2010 OBJECTIVE	
DATA SOURCES AND DATA ISSUES	The NYS Youth Risk Behavior Survey
SIGNIFICANCE	Research indicates that adult morbidity and mortality are increased by childhood obesity, even if the condition does not persist into adulthood. However, in general, overweight and obesity tend to track or persist from childhood into adolescence and adulthood. The older the child/adolescent and the greater the obesity, the more likely that child/adolescent obesity will persist.

PERFORMANCE MEASURE:

The ratio of the Hispanic teen (ages 15-17) pregnancy rate to the non-Hispanic White teen (ages 15-17) pregnancy rate

STATUS:

Active

GOAL

To reduce the disparity in teen pregnancy rates between Hispanic and non-Hispanic White teen girls.

DEFINITION

The ratio of the Hispanic teen (ages 15-17) pregnancy rate to the non-Hispanic White teen (ages 15-17) pregnancy rate

Numerator:

The rate of pregnancies (including abortions, spontaneous fetal deaths, and births) to Hispanic females aged 15-17 years old.

Denominator:

The rate of pregnancies (including abortions, spontaneous fetal deaths, and births) to non-Hispanic White females aged 15-17 years old.

Units: 1 **Text:** Ratio

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

Vital Records are the source for data on mothers' age and pregnancies. Population numbers are estimated by the Bureau of Biometrics, NYS Health Department.

SIGNIFICANCE

Adolescent sexual activity can have life-changing or life-threatening consequences; unintended pregnancy and infection with sexually transmitted diseases or HIV. Teen parenting is associated with non-completion of high school. While NYS has been successful in reducing teen pregnancies over the past decade, rates of pregnancy among Hispanic teens is more than double the rate for White teens.

PERFORMANCE MEASURE:

Percent of High School Students Who Smoked Cigarettes in the Last Month

STATUS:

Active

GOAL

To reduce smoking among adolescents.

DEFINITION

The rate of current smoking among high school students.

Numerator:

The number of high school students that reported smoking at least one cigarette during the last month.

Denominator:

The number of high school students

Units: 100 **Text:** Percent

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

The New York State Youth Risk Behavior Survey

SIGNIFICANCE

Tobacco is an addictive substance. Tobacco causes more disease and death in NYS than any other pathogen. Tobacco causes 30% of all cancer deaths, 82% of all deaths due to pulmonary disease, and 21% of deaths due to chronic cardiac disease. More than 1,500 fire deaths and 4,600 injuries in the US are attributable to cigarettes. Most (89%) of adult smokers initiated their habit while young, under the age of 18. 71% of adult smokers reported that they began smoking daily before age 18.

PERFORMANCE MEASURE:

The percentage of Medicaid enrolled children and adolescents between the ages of 2-21 years who had at least one dental visit within the last year

STATUS:

Active

GOAL

To increase dental visits among children and adolescents living in low income households.

DEFINITION

The percentage of Medicaid enrolled children and adolescents between the ages of 2-21 years who had at least one dental visit within the last year

Numerator:

Medicaid enrolled children and adolescents(ages 2-21) who had at least one dental visit in the last year

Denominator:

Medicaid enrolled children and adolescents (ages 2-21)

Units: 100 **Text:** Percent

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

Source: The NYS Quality Assurance Reporting Requirements (QARR)- report of managed care plan performance. Data only include information for enrollees in managed care programs.

SIGNIFICANCE

Tooth decay, the most common chronic childhood disease impacts children's functioning, including eating, growth and speaking and learning. In the US, children are estimated to lose over 51 million school hours annually because of dental problems and dental visits.

PERFORMANCE MEASURE:	Percentage of children who were tested for lead two or more times before the age of three.
STATUS:	Active
GOAL	To identify all children who have been exposed to high levels of lead.
DEFINITION	<p>Percentage of children who were tested for high lead levels two or more times before the age of three.</p> <p>Numerator: Number of children in the birth year cohort who have been screened two or more times for high blood lead levels before the age of three.</p> <p>Denominator: Number of children in the birth year cohort</p> <p>Units: 100 Text: Percent</p>
HEALTHY PEOPLE 2010 OBJECTIVE	
DATA SOURCES AND DATA ISSUES	NYS Heavymetals and Childhood Lead Registry, the data base for the NYS Childhood Lead Poisoning Prevention Program, is the source for these data. The NYSDOH Bureau of Biometrics provides population estimates.
SIGNIFICANCE	Childhood lead poisoning is a serious health problem that can have devastating permanent effects on children's physical, social, behavioral and cognitive development, with serious social and economic repercussions for society as a whole.

PERFORMANCE MEASURE:	Hospitalization Rate for Asthma in Children Ages 0 to 17 years.
STATUS:	Active
GOAL	To reduce asthma morbidity among children.
DEFINITION	<p>Rate of asthma hospitalizations per 10,000 children ages 0 to 17.</p> <p>Numerator: Number of hospitalizations for asthma (ICD9 493)among children ages 0 to 17.</p> <p>Denominator: Number of children ages 0 to 17</p> <p>Units: 10000 Text: Rate</p>
HEALTHY PEOPLE 2010 OBJECTIVE	<p>1-9. Hospitalization for ambulatory-care-sensitive conditions</p> <p>1-9a. Reduce hospitalization rates for pediatric asthma (persons under age 18 years) to no more than 17.3 per 10,000 persons aged less than 18 years.</p>
DATA SOURCES AND DATA ISSUES	The NYS SPARCS Data System is the source for the hospitalization data. The NYSDOH Bureau of Biometrics provides population estimates.
SIGNIFICANCE	Increased asthma prevalence among children and the associated morbidity due to exacerbations and persistent symptoms present a huge burden to affected individuals and their families. In the US, over 10 million school days are lost annually by children with asthma. Consequently lost productivity of their parents was almost \$1M. Patients with inadequately controlled severe asthma have high expenditures in health care costs, especially in terms of hospitalizations. The social and economic burdens of asthma can be alleviated through appropriate asthma preventin and management strategies.

PERFORMANCE MEASURE:	The percentage of infants who were exclusively fed breast milk between birth and hospital discharge
STATUS:	Active
GOAL	To increase the rate of infants who are exclusively fed breast milk
DEFINITION	<p>The percentage of infants who were exclusively fed breast milk between birth and hospital discharge</p> <p>Numerator: The number of in-born infants, excluding those transferred to the neonatal intensive care unit, who are exclusively fed breast milk between birth and discharge</p> <p>Denominator: The total number of in-born infants who are not transferred to neonatal intensive care unit.</p> <p>Units: 100 Text: Percent</p>
HEALTHY PEOPLE 2010 OBJECTIVE	
DATA SOURCES AND DATA ISSUES	Statewide Perinatal Data System
SIGNIFICANCE	The U.S. Surgeon General recommends that babies be fed only breast milk for the first six months of their lives. The public health benefits of breastfeeding have long been recognized. Human milk is uniquely adapted to the nutritional needs of infants and provides for optimal growth and development. Breast milk is easy to digest and contains antibodies that help reduce the infants risk of infection.

OUTCOME MEASURE: Maternal Mortality Rate per 100,000 Live Births

STATUS: Active

GOAL To reduce the number of maternal deaths

DEFINITION Deaths from causes related to pregnancy

Numerator:
Number of deaths occurring to women from causes related to pregnancy (ICD 9: 630 through 676)

Denominator:
Number of Live Births

Units: 100000 **Text:** Rate

HEALTHY PEOPLE 2010 OBJECTIVE Reduce the maternal mortality rate to no more than 3.3 per 100,000 live births

DATA SOURCES AND DATA ISSUES Source: Vital Records Issues: Maternal death as cause of death are under reported. More aggressive case ascertainment results in what appear to be higher rates.

SIGNIFICANCE Due to general improvement in social and economic conditions and medical practices, maternal deaths are rare occurrences. However, in recent years in both the U.S. and in New York State, the rate of maternal deaths has been increasing. New York State is revising its protocol for maternal mortality reviews with a focus upon prevention of future deaths. It is critical to continue to track the rate of maternal deaths to determine whether this effort will have a positive effect on reducing mortality.

OUTCOME MEASURE:

The percentage of elective deliveries, both cesarean sections and inductions, performed without appropriate indication between 36 and 38 6/7 weeks gestation

STATUS:

Active

GOAL

To reduce the rate of elective deliveries performed without indication

DEFINITION

Rate of elective deliveries per 100 performed without appropriate indication among women between 36 and 38 6/7 weeks gestation.

Numerator:

Number of elective deliveries performed without appropriate indication among women between 36 and 38 6/7 weeks gestation.

Denominator:

Number of elective deliveries performed among women between 36 and 38 6/7 weeks gestation.

Units: 100 **Text:** Percent

HEALTHY PEOPLE 2010 OBJECTIVE

DATA SOURCES AND DATA ISSUES

Statewide Perinatal Data System

SIGNIFICANCE

Cesarean section rates have risen nationally over the past decade. Between 1996 and 2005, the national c-section rate rose by 46% due, in part, to increases in the percent of women having first time c-section deliveries and a reduction in the percentage of vaginal births after c-section. The c-section rate in NYS reflects the national trend.

